

PART I

THE NATURE OF MORALITY: WHAT IS MORALITY?

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1 Morality as a Good in Itself

Plato

From Plato, The Republic (London: Macmillan, 1892).

With these words I was thinking that I had made an end of the discussion; but the end, in truth, proved to be only a beginning. For Glaucon, who is always the most pugnacious of men, was dissatisfied at Thrasymachus' retirement; he wanted to have the battle out. So he said to me: Socrates, do you wish really to persuade us, or only to seem to have persuaded us, that to be moral is always better than to be immoral?

I should wish really to persuade you, I replied, if I could.

Then you certainly have not succeeded. Let me ask you now: how would you arrange goods – are there not some which we welcome for their own sakes, and independently of their consequences, as, for example, harmless pleasures and enjoyments, which delight us at the time, although nothing follows from them?

I agree in thinking that there is such a class, I replied.

Is there not also a second class of goods, such as knowledge, sight, health, which are desirable not only in themselves, but also for their results?

Certainly, I said.

And would you not recognize a third class, such as gymnastics, and the care of the sick, and the physician's art; also the various ways of money-making – these do us good but we regard them as disagreeable; and no one would choose them for their own sakes, but only for the sake of some reward or result which flows from them?

There is, I said, this third class also. But why do you ask?

Because I want to know in which of the three classes you would place morality? In the highest class, I reply – among those goods which he who would be happy desires both for their own sake and for the sake of their results.

Then the many are of another mind; they think that morality is to be reckoned in the trouble-some class, among goods which are to be pursued for the sake of rewards and of reputation, but in themselves are disagreeable and rather to be avoided.

I know, I said, that this is their manner of thinking, and that this was the thesis which Thrasymachus was maintaining just now, when he censured morality and praised immorality. But I am too stupid to be convinced by him.

I wish, he said, that you would hear me as well as him, and then I shall see whether you and I agree. For Thrasymachus seems to me, like a snake, to have been charmed by your voice sooner than he ought to have been; but to my mind the natures of morality and immorality have not yet been made clear. Setting aside their rewards and results, I want to know what they are in themselves, and how





they inwardly work in the soul. If you please, then, I will revive the argument of Thrasymachus. And first I will speak of the nature and origin of morality according to the common view of them. Secondly, I will show that all men who practise morality do so against their will, of necessity, but not as a good. And thirdly, I will argue that there is reason in this view, for the life of the immoral is after all better by far than the life of moral – if what they say is true, Socrates, since I myself am not of their opinion. But still I acknowledge that I am perplexed when I hear the voices of Thrasymachus and myriads of others dinning in my ears; and, on the other hand, I have never yet heard the superiority of morality to injustice maintained by anyone in a satisfactory way. I want to hear morality praised in respect of itself; then I shall be satisfied, and you are the person from whom I think that I am most likely to hear this; and therefore I will praise the immoral life to the utmost of my power, and my manner of speaking will indicate the manner in which I desire to hear you too praising morality and censuring immorality. Will you say whether you approve of my proposal?

Indeed I do; nor can I imagine any theme about which a man of sense would oftener wish to converse.

I am delighted, he replied, to hear you say so, and shall begin by speaking, as I proposed, of the nature and origin of morality.

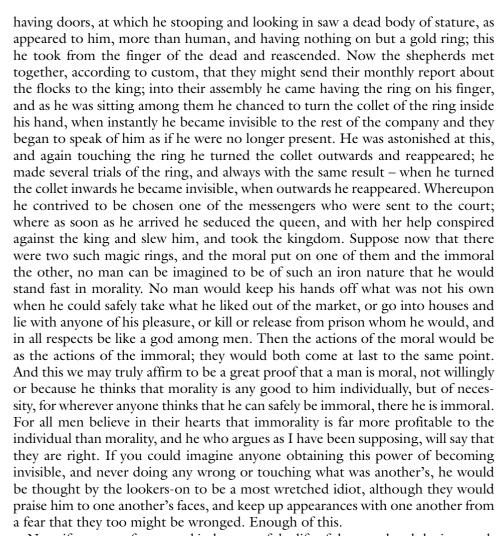
They say that to do wrong is, by nature, good; to have wrong done to you, evil; but that the evil is greater than the good. And so when men have both done and suffered wrong and have had experience of both, not being able to avoid the one and obtain the other, they think that they had better agree among themselves to have neither; hence there arise laws and mutual covenants; and that which is ordained by law is termed by them lawful and right. This they affirm to be the origin and nature of morality; it is a mean or compromise, between the best of all, which is to do wrong and not be punished, and the worst of all, which is to have wrong done to you without the power of retaliation; and justice, being at a middle point between the two, is tolerated not as a good, but as the lesser evil, and honoured by reason of the inability of men to do wrong. For no man who is worthy to be called a man would ever submit to such an agreement if he were able to resist; he would be mad if he did. Such is the received account, Socrates, of the nature and origin of morality.

Now that those who practise morality do so involuntarily and because they have not the power to do what is wrong will best appear if we imagine something of this kind: having given both to the moral and the immoral power to do what they will, let us watch and see whither desire will lead them; then we shall discover in the very act the moral and the immoral man to be proceeding along the same road, following their interest, which all natures deem to be their food, and are only diverted into the path of morality by the force of law. The liberty which we are supposing may be most completely given to them in the form of such a power as is said to have been possessed by Gyges, the ancestor of Croesus the Lydian. According to the tradition, Gyges was a shepherd in the service of the king of Lydia; there was a great storm, and an earthquake made an opening in the earth at the place where he was feeding his flock. Amazed at the sight, he descended into the opening, where, among other marvels, he beheld a hollow brazen horse,









Now, if we are to form a real judgment of the life of the moral and the immoral, we must isolate them; there is no other way; and how is the isolation to be effected? I answer: Let the immoral man be entirely immoral, and the moral man entirely moral, nothing is to be taken away from either of them, and both are to be perfectly furnished for the work of the respective lives. First, let the immoral be like other distinguished masters of craft; like the skilful pilot or physician, who knows intuitively his own powers and keeps within their limits, and who, if he fails at any point, is able to recover himself. So let the immoral make his immoral attempts in the right way, and lie hidden if he means to be great in his immorality (he who is found out is nobody): for the highest reach of immorality is, to be deemed moral when you are not. Therefore I say that in the perfectly immoral man we must assume the most perfect immorality; there is to be no deduction, but we must allow him, while doing the most immoral acts, to have acquired the greatest reputation for morality. If he has taken a false step he must be able to recover himself; he must be one who can speak with effect, if any of his deeds come to





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light, and who can force his way where force is required by his courage and strength, and command of money and friends. And at his side let us place the moral man in his nobleness and simplicity, wishing, as Aeschylus says, to be and not to seem good. There must be no seeming, for if he seem to be moral he will be honoured and rewarded, and then we shall not know whether he is moral for the sake of morality or for the sake of honours and rewards; therefore, let him be clothed in morality only, and have no other covering; and he must be imagined in a state of life the opposite of the former. Let him be the best of men, and let him be thought the worst; then he will have been put to the proof; and we shall see whether he will be affected by the fear of infamy and its consequences. And let him continue thus to the hour of death; being just and seeming to one unjust. When both have reached the uttermost extreme, the one of morality and the other of immorality, let judgment be given which of them is the happier of the two.

Heavens! my dear Glaucon, I said, how energetically you polish them up for the decision, first one and then the other, as if they were two statues.

I do my best, he said. And now that we know what they are like there is no difficulty in tracing out the sort of life which awaits either of them. This I will proceed to describe; but as you may think the description a little too coarse, I ask you to suppose, Socrates, that the words which follow are not mine. Let me put them into the mouths of the eulogists of immorality: they will tell you that the moral man who is thought immoral will be scourged, racked, bound – will have his eyes burnt out; and, at last, after suffering every kind of evil, he will be impaled: Then he will understand that he ought to seem only, and not to be, moral; the words of Aeschylus may be more truly spoken of the immoral than of the moral. For the immoral is pursuing a reality; he does not live with a view to appearances – he wants to be really immoral and not to seem only:

His mind has a soil deep and fertile, Out of which spring his prudent counsels.

In the first place, he is thought moral, and therefore bears rule in the city; he can marry whom he will, and give in marriage to whom he will; also he can trade and deal where he likes, and always to his own advantage, because he has no misgivings about immorality, and at every contest, whether in public or private, he gets the better of his antagonists, and gains at their expense, and is rich, and out of his gains he can benefit his friends, and harm his enemies; moreover, he can offer sacrifices, and delicate gifts to the gods abundantly and magnificently, and can honour the gods or any man whom he wants to honour in a far better style than the just, and therefore he is likely to be dearer than they are to the gods. And thus, Socrates, gods and men are said to unite in making the life of the immoral better than the life of the moral.

I was going to say something in answer to Glaucon, when Adeimantus, his brother, interposed: Socrates, he said, you do not suppose that there is nothing more to be urged?

Why, what else is there? I answered.





The strongest point of all has not been even mentioned, he replied.

Well, then, according to the proverb, 'Let brother help brother' – if he fails in any part do you assist him; although I must confess that Glaucon has already said quite enough to lay me in the dust, and take from me the power of helping morality.

Nonsense, he replied. But let me add something more: There is another side to Glaucon's argument about the praise and censure of morality and immorality, which is equally required in order to bring out what I believe to be his meaning. Parents and tutors are always telling their sons and their wards that they are to be moral; but why? Not for the sake of morality, but for the sake of character and reputation; in the hope of obtaining for him who is reputed just some of those offices, marriages and the like which Glaucon has enumerated among the advantages accruing to the immoral from the reputation of being moral. More, however, is made of appearances by this class of persons than by the others; for they throw in the good opinion of the gods, and will tell you of a shower of benefits which the heavens, as they say, rain upon the pious; and this accords with the testimony of the noble Hesiod and Homer, the first of whom says that the gods make the oaks of the just:

To bear acorns at their summit, and bees in the middle; And the sheep are bowed down with the weight of their fleeces,

and many other blessings of a like kind are provided for them. And Homer has a very similar strain; for he speaks of one whose fame is

As the fame of some blameless king who, like a god, Maintains justice; to whom the black earth brings forth Wheat and barley, whose trees are bowed with fruit, And his sheep never fail to bear, and the sea gives him fish.

Still grander are the gifts of heaven which Musaeus and his son vouchsafe to the moral; they take them down into the world below, where they have the saints lying on couches at a feast, everlastingly drunk, crowned with garlands; their idea seems to be that an immortality of drunkenness is the [finest wage] of virtue. Some extend their rewards yet further; the posterity, as they say, of the faithful shall survive to the third and fourth generation. This is the style in which they praise morality. But about the wicked there is another strain; they bury them in a slough in Hades, and make them carry water in a sieve; also while they are yet living they bring them to infamy, and inflict upon them the punishments which Glaucon described as the portion of the moral who are reputed to be immoral; nothing else does their invention supply. Such is their manner of praising the one and censuring the other.

Once more, Socrates, I will ask you to consider another way of speaking about morality and immorality, which is not confined to the poets, but is found in prose writers. The universal voice of mankind is always declaring that morality and virtue are honourable, but grievous and toilsome; and that the pleasures of vice ... are easy of attainment, and are only censured by law and opinion. They say also







that honesty is for the most part less profitable than dishonesty; and they are quite ready to call wicked men happy, and to honour them both in public and private when they are rich or in any other way influential, while they despise and overlook those who may be weak and poor, even though acknowledging them to be better than the others. But most extraordinary of all is their mode of speaking about virtue and the gods: they say that the gods apportion calamity and misery to many good men, and good and happiness to the wicked. And mendicant prophets go to rich men's doors and persuade them that they have a power committed to them by the gods of making an atonement for a man's own or his ancestor's sins by sacrifices or charms, with rejoicings and feasts; and they promise to harm an enemy, whether moral or immoral, at a small cost; with magic arts and incantations binding heaven, as they say, to execute their will. And the poets are the authorities to whom they appeal, now smoothing the path of vice with the words of Hesiod:

Vice may be had in abundance without trouble; the way is smooth and her dwellingplace is near. But before virtue the gods have set toil,

and a tedious and uphill road: then citing Homer as a witness that the gods may be influenced by men; for he also says:

The gods, too, may be turned from their purpose; and men pray to them and avert their wrath by sacrifices and soothing entreaties, and by libations and the odour of fat, when they have sinned and transgressed.

And they produce a host of books written by Musaeus and Orpheus, who were children of the Moon and the Muses – that is what they say – according to which they perform their ritual, and persuade not only individuals, but whole cities, that expiations and atonements for sin may be made by sacrifices and amusements which fill a vacant hour, and are equally at the service of the living and the dead; the latter sort they call mysteries, and they redeem us from the pains of hell, but if we neglect them no one knows what awaits us.

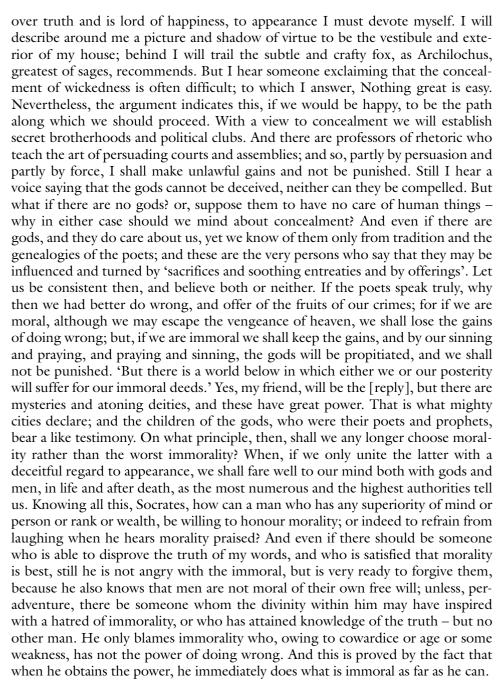
He proceeded: And now when the young hear all this said about virtue and vice, and the way in which gods and men regard them, how are their minds likely to be affected, my dear Socrates – those of them, I mean, who are quickwitted and, like bees on the wing, light on every flower, and from all that they hear are prone to draw conclusions as to what manner of persons they should be and in what way they should walk if they would make the best of life? Probably the youth will say to himself in the words of Pindar:

Can I by honesty or by crooked ways of deceit ascend a loftier tower which may be a fortress to me all my days?

For what men say is that, if I am really moral and am not also thought to be moral, profit there is none, but the pain and loss on the other hand are unmistakable. But if, though immoral, I acquire the reputation of being moral, a heavenly life is promised to me. Since then, as philosophers prove, appearance tyrannizes







The cause of all this, Socrates, was indicated by us at the beginning of the argument, when my brother and I told you how astonished we were to find that of all the professing panegyrists of morality – beginning with the ancient heroes of whom any memorial has been preserved to us, and ending with the men of our own time – no one has ever blamed immorality or praised morality except with a view to the glories, honours and benefits which flow from them. No one has ever







adequately described either in verse or prose the true essential nature of either of them abiding in the soul, and invisible to any human or divine eye; or shown that of all the things of a man's soul which he has within him, morality is the greatest good, and immorality the greatest evil. Had this been the universal strain, had you sought to persuade us of this from our youth upwards, we should not have been on the watch to keep one another from doing wrong, but everyone would have been his own watchman, because afraid, if he did wrong, of harbouring in himself the greatest of evils. I dare say that Thrasymachus and others would seriously hold the language which I have been merely repeating, and words even stronger than these about morality and immorality, grossly, as I conceive, perverting their true nature. But I speak in this vehement manner, as I must frankly confess to you, because I want to hear from you the opposite side; and I would ask you to show not only the superiority which morality has over immorality, but what effect they have on the possessor of them which makes the one to be a good and the other an evil to him. And please, as Glaucon requested of you, to exclude reputations; for unless you take away from each of them his true reputation and add on the false, we shall say that you do not praise morality, but the appearance of it; we shall think that you are only exhorting us to keep immorality dark, and that you really agree with Thrasymachus in thinking that morality is another's good and the interest of the stronger, and that immorality is a man's own profit and interest, though injurious to the weaker. Now as you have admitted that morality is one of that highest class of goods which are desired indeed for their results, but in a far greater degree for their own sakes – like sight or hearing or knowledge or health, or any other real and natural and not merely conventional good – I would ask you in your praise of morality to regard one point only: I mean the essential good and evil which morality and immorality work in the possessors of them. Let others praise morality and censure immorality, magnifying the rewards and honours of the one and abusing the other; that is a manner of arguing which, coming from them, I am ready to tolerate, but from you who have spent your whole life in the consideration of this question, unless I hear the contrary from your own lips, I expect something better. And therefore, I say, not only prove to us that morality is better than immorality, but show what either of them does to the possessor of them, which makes the one to be a good and the other an evil, whether seen or unseen by gods and men.







2 The Emotive Theory of Morality

A. J. Ayer

From Language, Truth and Logic (New York: Dover, 1952). Reprinted by permission.

There is still one objection to be met before we can claim to have justified our view that all synthetic propositions are empirical hypotheses. This objection is based on the common supposition that our speculative knowledge is of two distinct kinds – that which relates to questions of empirical fact, and that which relates to questions of value. It will be said that "statements of value" are genuine synthetic propositions, but that they cannot with any show of justice be represented as hypotheses, which are used to predict the course of our sensations; and, accordingly, that the existence of ethics and aesthetics as branches of speculative knowledge presents an insuperable objection to our radical empiricist thesis.

In face of this objection, it is our business to give an account of "judgments of value" which is both satisfactory in itself and consistent with our general empiricist principles. We shall set ourselves to show that in so far as statements of value are significant, they are ordinary "scientific" statements; and that in so far as they are not scientific, they are not in the literal sense significant, but are simply expressions of emotion which can be neither true nor false. In maintaining this view, we may confine ourselves for the present to the case of ethical statements. What is said about them will be found to apply, *mutatis mutandis*, to the case of aesthetic statements also.

The ordinary system of ethics, as elaborated in the works of ethical philosophers, is very far from being a homogeneous whole. Not only is it apt to contain pieces of metaphysics, and analyses of non-ethical concepts: its actual ethical contents are themselves of very different kinds. We may divide them, indeed, into four main classes. There are, first of all, propositions which express definitions of ethical terms, or judgments about the legitimacy or possibility of certain definitions. Secondly, there are propositions describing the phenomena of moral experience, and their causes. Thirdly, there are exhortations to moral virtue. And, lastly, there are actual ethical judgments. It is unfortunately the case that the distinction between these four classes, plain as it is, is commonly ignored by ethical philosophers; with the result that it is often very difficult to tell from their works what it is that they are seeking to discover or prove.

In fact, it is easy to see that only the first of our four classes, namely that which comprises the propositions relating to the definitions of ethical terms, can be said to constitute ethical philosophy. The propositions which describe the phenomena of moral experience, and their causes, must be assigned to the science of psychology, or sociology. The exhortations to moral virtue are not propositions at all, but ejaculations or commands which are designed to provoke the reader to action of





a certain sort. Accordingly, they do not belong to any branch of philosophy or science. As for the expressions of ethical judgments, we have not yet determined how they should be classified. But inasmuch as they are certainly neither definitions nor comments upon definitions, nor quotations, we may say decisively that they do not belong to ethical philosophy. A strictly philosophical treatise on ethics should therefore make no ethical pronouncements. But it should, by giving an analysis of ethical terms, show what is the category to which all such pronouncements belong. And this is what we are now about to do.

A question which is often discussed by ethical philosophers is whether it is possible to find definitions which would reduce all ethical terms to one or two fundamental terms. But this question, though it undeniably belongs to ethical philosophy, is not relevant to our present enquiry. We are not now concerned to discover which term, within the sphere of ethical terms, is to be taken as fundamental; whether, for example, "good" can be defined in terms of "right" or "right" in terms of "good," or both in terms of "value." What we are interested in is the possibility of reducing the whole sphere of ethical terms to non-ethical terms. We are enquiring whether statements of ethical value can be translated into statements of empirical fact.

That they can be so translated is the contention of those ethical philosophers who are commonly called subjectivists, and of those who are known as utilitarians. For the utilitarian defines the rightness of actions, and the goodness of ends, in terms of the pleasure, or happiness, or satisfaction, to which they give rise; the subjectivist, in terms of the feelings of approval which a certain person, or group of people, has towards them. Each of these types of definition makes moral judgments into a sub-class of psychological or sociological judgments; and for this reason they are very attractive to us. For, if either was correct, it would follow that ethical assertions were not generically different from the factual assertions which are ordinarily contrasted with them; and the account which we have already given of empirical hypotheses would apply to them also.

Nevertheless we shall not adopt either a subjectivist or a utilitarian analysis of ethical terms. We reject the subjectivist view that to call an action right, or a thing good, is to say that it is generally approved of, because it is not self-contradictory to assert that some actions which are generally approved of are not right, or that some things which are generally approved of are not good. And we reject the alternative subjectivist view that a man who asserts that a certain action is right, or that a certain thing is good, is saying that he himself approves of it, on the ground that a man who confessed that he sometimes approved of what was bad or wrong would not be contradicting himself. And a similar argument is fatal to utilitarianism. We cannot agree that to call an action right is to say that of all the actions possible in the circumstances it would cause, or be likely to cause, the greatest happiness, or the greatest balance of pleasure over pain, or the greatest balance of satisfied over unsatisfied desire, because we find that it is not self-contradictory to say that it is sometimes wrong to perform the action which would actually or probably cause the greatest happiness, or the greatest balance of pleasure over pain, or of satisfied over unsatisfied desire. And since it is not self-contradictory to say that some pleasant things are not good, or that some bad things are desired,





it cannot be the case that the sentence "x is good" is equivalent to "x is pleasant," or to "x is desired." And to every other variant of utilitarianism with which I am acquainted the same objection can be made. And therefore we should, I think, conclude that the validity of ethical judgments is not determined by the felicific tendencies of actions, any more than by the nature of people's feelings; but that it must be regarded as "absolute" or "intrinsic," and not empirically calculable.

If we say this, we are not, of course, denying that it is possible to invent a language in which all ethical symbols are definable in non-ethical terms, or even that it is desirable to invent such a language and adopt it in place of our own; what we are denying is that the suggested reduction of ethical to non-ethical statements is consistent with the conventions of our actual language. That is, we reject utilitarianism and subjectivism, not as proposals to replace our existing ethical notions by new ones, but as analyses of our existing ethical notions. Our contention is simply that, in our language, sentences which contain normative ethical symbols are not equivalent to sentences which express psychological propositions, or indeed empirical propositions of any kind.

It is advisable here to make it plain that it is only normative ethical symbols, and not descriptive ethical symbols, that are held by us to be indefinable in factual terms. There is a danger of confusing these two types of symbols, because they are commonly constituted by signs of the same sensible form. Thus a complex sign of the form "x is wrong" may constitute a sentence which expresses a moral judgment concerning a certain type of conduct, or it may constitute a sentence which states that a certain type of conduct is repugnant to the moral sense of a particular society. In the latter case, the symbol "wrong" is a descriptive ethical symbol, and the sentence in which it occurs expresses an ordinary sociological proposition; in the former case, the symbol "wrong" is a normative ethical symbol, and the sentence in which it occurs does not, we maintain, express an empirical proposition at all. It is only with normative ethics that we are at present concerned; so that whenever ethical symbols are used in the course of this argument without qualification, they are always to be interpreted as symbols of the normative type.

In admitting that normative ethical concepts are irreducible to empirical concepts, we seem to be leaving the way clear for the "absolutist" view of ethics – that is, the view that statements of value are not controlled by observation, as ordinary empirical propositions are, but only by a mysterious "intellectual intuition." A feature of this theory, which is seldom recognized by its advocates, is that it makes statements of value unverifiable. For it is notorious that what seems intuitively certain to one person may seem doubtful, or even false, to another. So that unless it is possible to provide some criterion by which one may decide between conflicting intuitions, a mere appeal to intuition is worthless as a test of a proposition's validity. But in the case of moral judgments, no such criterion can be given. Some moralists claim to settle the matter by saying that they "know" that their own moral judgments are correct. But such an assertion is of purely psychological interest, and has not the slightest tendency to prove the validity of any moral judgment. For dissentient moralists may equally well "know" that their ethical views are correct. And, as far as subjective certainty goes, there will be nothing to choose between them. When such differences of opinion arise in connection with





an ordinary empirical proposition, one may attempt to resolve them by referring to, or actually carrying out, some relevant empirical test. But with regard to ethical statements, there is, on the "absolutist" or "intuitionist" theory, no relevant empirical test. We are therefore justified in saying that on this theory ethical statements are held to be unverifiable. They are, of course, also held to be genuine synthetic propositions.

Considering the use which we have made of the principle that a synthetic proposition is significant only if it is empirically verifiable, it is clear that the acceptance of an "absolutist" theory of ethics would undermine the whole of our main argument. And as we have already rejected the "naturalistic" theories which are commonly supposed to provide the only alternative to "absolutism" in ethics, we seem to have reached a difficult position. We shall meet the difficulty by showing that the correct treatment of ethical statements is afforded by a third theory, which is wholly compatible with our radical empiricism.

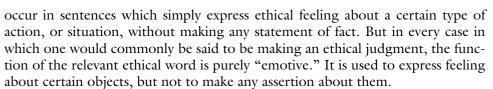
We begin by admitting that the fundamental ethical concepts are unanalyzable, inasmuch as there is no criterion by which one can test the validity of the judgments in which they occur. So far we are in agreement with the absolutists. But, unlike the absolutists, we are able to give an explanation of this fact about ethical concepts. We say that the reason why they are unanalyzable is that they are mere pseudo-concepts. The presence of an ethical symbol in a proposition adds nothing to its factual content. Thus if I say to someone, "You acted wrongly in stealing that money," I am not stating anything more than if I had simply said, "You stole that money." In adding that this action is wrong I am not making any further statement about it. I am simply evincing my moral disapproval of it. It is as if I had said, "You stole that money," in a peculiar tone of horror, or written it with the addition of some special exclamation marks. The tone, or the exclamation marks, adds nothing to the literal meaning of the sentence. It merely serves to show that the expression of it is attended by certain feelings in the speaker.

If now I generalize my previous statement and say, "Stealing money is wrong," I produce a sentence which has no factual meaning – that is, expresses no proposition which can be either true or false. It is as if I had written "Stealing money!" – where the shape and thickness of the exclamation marks show, by a suitable convention, that a special sort of moral disapproval is the feeling which is being expressed. It is clear that there is nothing said here which can be true or false. Another man may disagree with me about the wrongness of stealing, in the sense that he may not have the same feelings about stealing as I have, and he may quarrel with me on account of my moral sentiments. But he cannot, strictly speaking, contradict me. For in saying that a certain type of action is right or wrong, I am not making any factual statement, not even a statement about my own state of mind. I am merely expressing certain moral sentiments. And the man who is ostensibly contradicting me is merely expressing his moral sentiments. So that there is plainly no sense in asking which of us is in the right. For neither of us is asserting a genuine proposition.

What we have just been saying about the symbol "wrong" applies to all normative ethical symbols. Sometimes they occur in sentences which record ordinary empirical facts besides expressing ethical feeling about those facts; sometimes they







It is worth mentioning that ethical terms do not serve only to express feeling. They are calculated also to arouse feeling, and so to stimulate action. Indeed some of them are used in such a way as to give the sentences in which they occur the effect of commands. Thus the sentence "It is your duty to tell the truth" may be regarded both as the expression of a certain sort of ethical feeling about truth-fulness and as the expression of the command "Tell the truth." The sentence "You ought to tell the truth" also involves the command "Tell the truth," but here the tone of the command is less emphatic. In the sentence "It is good to tell the truth" the command has become little more than a suggestion. And thus the "meaning" of the word "good," in its ethical usage, is differentiated from that of the word "duty" or the word "ought." It fact we may define the meaning of the various ethical words in terms both of the different feelings they are ordinarily taken to express, and also the different responses which they are calculated to provoke.

We can now see why it is impossible to find a criterion for determining the validity of ethical judgments. It is not because they have an "absolute" validity which is mysteriously independent of ordinary sense-experience, but because they have no objective validity whatsoever. If a sentence makes no statement at all, there is obviously no sense in asking whether what it says is true or false. And we have seen that sentences which simply express moral judgments do not say anything. They are pure expressions of feeling and as such do not come under the category of truth and falsehood. They are unverifiable for the same reason as a cry of pain or a word of command is unverifiable – because they do not express genuine propositions.

Thus, although our theory of ethics might fairly be said to be radically subjectivist, it differs in a very important respect from the orthodox subjectivist theory. For the orthodox subjectivist does not deny, as we do, that the sentences of a moralizer express genuine propositions. All he denies is that they express propositions of a unique non-empirical character. His own view is that they express propositions about the speaker's feelings. If this were so, ethical judgments clearly would be capable of being true or false. They would be true if the speaker had the relevant feelings, and false if he had not. And this is a matter which is, in principle, empirically verifiable. Furthermore they could be significantly contradicted. For if I say, "Tolerance is a virtue," and someone answers, "You don't approve of it," he would, on the ordinary subjectivist theory, be contradicting me. On our theory, he would not be contradicting me, because, in saying that tolerance was a virtue, I should not be making any statement about my own feelings or about anything else. I should simply be evincing my feelings, which is not at all the same thing as saying that I have them.

The distinction between the expression of feeling and the assertion of feeling is complicated by the fact that the assertion that one has a certain feeling often







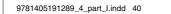
accompanies the expression of that feeling, and is then, indeed, a factor in the expression of that feeling. Thus I may simultaneously express boredom and say that I am bored, and in that case my utterance of the words, "I am bored," is one of the circumstances which make it true to say that I am expressing or evincing boredom. But I can express boredom without actually saying that I am bored. I can express it by my tone and gestures, while making a statement about something wholly unconnected with it, or by an ejaculation, or without uttering any words at all. So that even if the assertion that one has a certain feeling always involves the expression of that feeling, the expression of a feeling assuredly does not always involve the assertion that one has it. And this is the important point to grasp in considering the distinction between our theory and the ordinary subjectivist theory. For whereas the subjectivist holds that ethical statements actually assert the existence of certain feelings, we hold that ethical statements are expressions and excitants of feeling which do not necessarily involve any assertions.

We have already remarked that the main objection to the ordinary subjectivist theory is that the validity of ethical judgments is not determined by the nature of their author's feelings. And this is an objection which our theory escapes. For it does not imply that the existence of any feelings is a necessary and sufficient condition of the validity of an ethical judgment. It implies, on the contrary, that ethical judgments have no validity.

There is, however, a celebrated argument against subjectivist theories which our theory does not escape. It has been pointed out by Moore that if ethical statements were simply statements about the speaker's feelings, it would be impossible to argue about questions of value. To take a typical example: if a man said that thrift was a virtue, and another replied that it was a vice, they would not, on this theory, be disputing with one another. One would be saying that he approved of thrift, and the other that *he* didn't; and there is no reason why both these statements should not be true. Now Moore held it to be obvious that we do dispute about questions of value, and accordingly concluded that the particular form of subjectivism which he was discussing was false.

It is plain that the conclusion that it is impossible to dispute about questions of value follows from our theory also. For as we hold that such sentences as "Thrift is a virtue" and "Thrift is a vice" do not express propositions at all, we clearly cannot hold that they express incompatible propositions. We must therefore admit that if Moore's argument really refutes the ordinary subjectivist theory, it also refutes ours. But, in fact, we deny that it does refute even the ordinary subjectivist theory. For we hold that one really never does dispute about questions of value.

This may seem, at first sight, to be a very paradoxical assertion. For we certainly do engage in disputes which are ordinarily regarded as disputes about questions of value. But, in all such cases, we find, if we consider the matter closely, that the dispute is not really about a question of value, but about a question of fact. When someone disagrees with us about the moral value of a certain action or type of action, we do admittedly resort to argument in order to win him over to our way of thinking. But we do not attempt to show by our arguments that he has the "wrong" ethical feeling towards a situation whose nature he has correctly apprehended. What we attempt to show is that he is mistaken about the facts of the









case. We argue that he has misconceived the agent's motive; or that he has misjudged the effects of the action, or its probable effects in view of the agent's knowledge; or that he has failed to take into account the special circumstances in which the agent was placed. Or else we employ more general arguments about the effects which actions of a certain type tend to produce, or the qualities which are usually manifested in their performance. We do this in the hope that we have only to get our opponent to agree with us about the nature of the empirical facts for him to adopt the same moral attitude towards them as we do. And as the people with whom we argue have generally received the same moral education as ourselves, and live in the same social order, our expectation is usually justified. But if our opponent happens to have undergone a different process of moral "conditioning" from ourselves, so that, even when he acknowledges all the facts, he still disagrees with us about the moral value of the actions under discussion, then we abandon the attempt to convince him by argument. We say that it is impossible to argue with him because he has a distorted or undeveloped moral sense; which signifies merely that he employs a different set of values from our own. We feel that our own system of values is superior, and therefore speak in such derogatory terms of his. But we cannot bring forward any arguments to show that our system is superior. For our judgment that it is so is itself a judgment of value, and accordingly outside the scope of argument. It is because argument fails us when we come to deal with pure questions of value, as distinct from questions of fact, that we finally resort to mere abuse.

In short, we find that argument is possible on moral questions only if some system of values is presupposed. If our opponent concurs with us in expressing moral disapproval of all actions of a given type t, then we may get him to condemn a particular action A, by bringing forward arguments to show that A is of type t. For the question whether A does or does not belong to that type is a plain question of fact. Given that a man has certain moral principles, we argue that he must, in order to be consistent, react morally to certain things in a certain way. What we do not and cannot argue about is the validity of these moral principles. We merely praise or condemn them in the light of our own feelings.

If anyone doubts the accuracy of this account of moral disputes, let him try to construct even an imaginary argument on a question of value which does not reduce itself to an argument about a question of logic or about an empirical matter of fact. I am confident that he will not succeed in producing a single example. And if that is the case, he must allow that its involving the impossibility of purely ethical arguments is not, as Moore thought, a ground of objection to our theory, but rather a point in favor of it.

Having upheld our theory against the only criticism which appeared to threaten it, we may now use it to define the nature of all ethical enquiries. We find that ethical philosophy consists simply in saying that ethical concepts are pseudoconcepts and therefore unanalyzable. The further task of describing the different feelings that the different ethical terms are used to express, and the different reactions that they customarily provoke, is a task for the psychologist. There cannot be such a thing as ethical science, if by ethical science one means the elaboration of a "true" system of morals. For we have seen that, as ethical judgments are mere





expressions of feeling, there can be no way of determining the validity of any ethical system, and, indeed, no sense in asking whether any such system is true. All that one may legitimately enquire in this connection is, What are the moral habits of a given person or group of people, and what causes them to have precisely those habits and feelings? And this enquiry falls wholly within the scope of the existing social sciences.

It appears, then, that ethics, as a branch of knowledge, is nothing more than a department of psychology and sociology. And in case anyone thinks that we are overlooking the existence of casuistry, we may remark that casuistry is not a science, but is a purely analytical investigation of the structure of a given moral system. In other words, it is an exercise in formal logic.

When one comes to pursue the psychological enquiries which constitute ethical science, one is immediately enabled to account for the Kantian and hedonistic theories of morals. For one finds that one of the chief causes of moral behavior is fear, both conscious and unconscious, of a god's displeasure, and fear of the enmity of society. And this, indeed, is the reason why moral precepts present themselves to some people as "categorical" commands. And one finds, also, that the moral code of a society is partly determined by the beliefs of that society concerning the conditions of its own happiness - or, in other words, that a society tends to encourage or discourage a given type of conduct by the use of moral sanctions according as it appears to promote or detract from the contentment of the society as a whole. And this is the reason why altruism is recommended in most moral codes and egotism condemned. It is from the observation of this connection between morality and happiness that hedonistic or eudaemonistic theories of morals ultimately spring, just as the moral theory of Kant is based on the fact, previously explained, that moral precepts have for some people the force of inexorable commands. As each of these theories ignores the fact which lies at the root of the other, both may be criticized as being one-sided; but this is not the main objection to either of them. Their essential defect is that they treat propositions which refer to the causes and attributes of our ethical feelings as if they were definitions of ethical concepts. And thus they fail to recognize that ethical concepts are pseudo-concepts and consequently indefinable....

Note

1 Cf. Philosophical Studies, "The Nature of Moral Philosophy."







3 The New Subjectivism in Morality

Brand Blanshard

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By the new subjectivism in ethics I mean the view that when anyone says "this is right" or "this is good," he is only expressing his own feeling; he is not asserting anything true or false, because he is not asserting or judging at all; he is really making an exclamation that expresses a favorable feeling.

This view has recently come into much favor. With variations of detail, it is being advocated by Russell, Wittgenstein, and Ayer in England, and by Carnap, Stevenson, Feigl, and others in this country [in the USA]. Why is it that the theory has come into so rapid a popularity? Is it because moralists of insight have been making a fresh and searching examination of moral experience and its expression? No, I think not. A consideration of the names just mentioned suggests a truer reason. All these names belong, roughly speaking, to a single school of thought in the theory of knowledge. If the new view has become popular in ethics, it is because certain persons who were at work in the theory of knowledge arrived at a new view there, and found, on thinking it out, that it required the new view in ethics; the new view comes less from ethical analysis than from logical positivism.

These writers, as positivists or near-positivists, held that every judgment belongs to one or other of two types. On the one hand, it may be a priori or necessary. But then it is always analytic, i.e. it unpacks in its predicate part or all of its subject. Can we safely say that 7 + 5 make 12? Yes, because 12 is what we mean by "7 + 5." On the other hand, the judgment may be too empirical, and then, if we are to verify it, we can no longer look to our meanings only; it refers to sense experience and there we must look for its warrant. Having arrived at this division of judgments, the positivists raised the question of where value judgments fall. The judgment that knowledge is good, for example, did not seem to be analytic; the value that knowledge might have did not seem to be part of our concept of knowledge. But neither was the statement empirical, for goodness was not a quality like red or squeaky that could be seen or heard. What were they to do, then, with these awkward judgments of value? To find a place for them in their theory of knowledge would require them to revise the theory radically, and yet that theory was what they regarded as their most important discovery. It appeared that the theory could be saved in one way only. If it could be shown that judgments of good and bad were not judgments at all, that they asserted nothing true or false, but merely expressed emotions like "Hurrah" or "Fiddlesticks," then these wayward judgments would cease from troubling and weary heads could be at rest. This is the course the positivists took. They explained value judgments by explaining them away.







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Now I do not think their view will do. But before discussing it, I should like to record one vote of thanks to them for the clarity with which they have stated their case. It has been said of John Stuart Mill that he wrote so clearly that he could be found out. This theory has been put so clearly and precisely that it deserves criticism of the same kind, and this I will do my best to supply. The theory claims to show by analysis that when we say "That is good," we do not mean to assert a character of the subject of which we are thinking. I shall argue that we do mean to do just that.

Let us work through an example, and the simpler and commoner the better. There is perhaps no value statement on which people would more universally agree than the statement that intense pain is bad. Let us take a set of circumstances in which I happen to be interested on the legislative side and in which I think every one of us might naturally make such a statement. We come upon a rabbit that has been caught in one of the brutal traps in common use. There are signs that it has struggled for days to escape and that in a frenzy of hunger, pain, and fear, it has all but eaten off its own leg. The attempt failed: the animal is now dead. As we think of the long and excruciating pain it must have suffered, we are very likely to say: "It was a bad thing that the little animal should suffer so." The positivist tells us when we say this we are only expressing our present emotion. I hold, on the contrary, that we mean to assert something of the pain itself, namely that it was bad – bad when and as it occurred.

Consider what follows from the positivist view. On that view, nothing good or bad happened in the case until I came on the scene and made my remark. For what I express in my remark is something going on in me at the time, and that of course did not exist until I did come on the scene. The pain of the rabbit was not itself bad; nothing evil was happening when the pain was being endured; badness, in the only sense in which it is involved at all, waited for its appearance till I came and looked and felt. Now that this is at odds with our meaning may be shown as follows. Let us put to ourselves the hypothesis that we had not come on the scene and that the rabbit never was discovered. Are we prepared to say that in that case nothing bad had occurred in the sense in which we said it did? Clearly not. Indeed we should say, on the contrary, that the accident of our later discovery made no difference whatever to the badness of the animal's pain, that it would have been every whit as bad whether a chance passer-by happened later to discover the body and feel repugnance or not. If so, then it is clear that in saying the suffering was bad we are not expressing our feelings only. We are saying that the pain was bad when and as it occurred and before anyone took an attitude toward it.

The first argument is thus an ideal experiment in which we use the method of difference. It removes our present expression and shows that the badness we meant would not be affected by this, whereas on positivist grounds it should be. The second argument applies the method in the reverse way. It ideally removes the past event, and shows that this would render false what we mean to say, whereas on positivist grounds it should not. Let us suppose that the animal did not in fact fall into the trap and did not suffer at all, but that we mistakenly believe it did, and say as before that its suffering was an evil thing. On the positivist







theory, everything I sought to express by calling it evil in the first case is still present in the second. In the only sense in which badness is involved at all, whatever was bad in the first case is still present in its entirety, since all that is expressed in either case is a state of feeling, and that feeling is still there. And our question is, is such an implication consistent with what we meant? Clearly it is not. If anyone asked us, after we made the remark that the suffering was a bad thing, whether we should think it relevant to what we said to learn that the incident had never occurred and no pain had been suffered at all, we should say that it made all the difference in the world, that what we were asserting to be bad was precisely the suffering we thought had occurred back there, that if this had not occurred, there was nothing left to be bad, and that our assertion was in that case mistaken. The suggestion that in saying something evil had occurred we were after all making no mistake, because we had never meant anyhow to say anything about the past suffering, seems to me merely frivolous. If we did not mean to say this, why should we be so relieved on finding that the suffering had not occurred? On the theory before us, such relief would be groundless, for in that suffering itself there would be nothing to be relieved about. The positivist theory would here distort our meaning beyond recognition.

So far as I can see, there is only one way out for the positivist: he holds that goodness and badness lie in feelings of approval or disapproval. And there is a way in which he might hold that badness did in this case precede our own feeling of disapproval without belonging to the pain itself. The pain itself was neutral; but unfortunately the rabbit, on no grounds at all, took up toward this neutral object an attitude of disapproval, and that made it for the first time, and in the only intelligible sense, bad. This way of escape is theoretically possible, but since it has grave difficulties of its own and has not, so far as I know, been urged by positivists, it is perhaps best not to spend time over it.

I come now to a third argument, which again is very simple. When we come upon the rabbit and make our remark about its suffering being a bad thing, we presumably make it with some feeling; the positivists are plainly right in saying that such remarks do usually express feeling. But suppose that a week later we revert to the incident in thought and make our statement again. And suppose that the circumstances have now so changed that the feeling with which we made the remark in the first place has faded. The pathetic evidence is no longer before us; and we are now so fatigued in body and mind that the feeling is, as we say, quite dead. In these circumstances, since what was expressed by the remark when first made is, on the theory before us, simply absent, the remark now expresses nothing. It is as empty as the word "Hurrah" would be when there was no enthusiasm behind it. And this seems to me untrue. When we repeat the remark that such suffering was a bad thing, the feeling with which we made it last week may be at or near the vanishing point, but if we were asked whether we meant to say what we did before, we should certainly answer Yes. We should say that we made our point with feeling the first time and little or no feeling the second time, but that it was the same point we were making. And if we can see that what we meant to say remains the same, while the feeling varies from intensity to near zero, it is not the feeling that we primarily meant to express.







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I come now to a fourth consideration. We all believe that toward acts or effects of a certain kind one attitude is fitting and another not; but on the theory before us such a belief would not make sense. Broad and Ross have lately contended that this fitness is one of the main facts of ethics, and I suspect they are right. But this is not exactly my point. My point is this: whether there is such fitness or not, we all assume that there is, and if we do, we express in moral judgments more than the subjectivists say we do. Let me illustrate.

In his novel The House of the Dead, Dostoevsky tells of his experiences in a Siberian prison camp. Whatever the unhappy inmates of such camps are like today, Dostoevsky's companions were about as grim a lot as can be imagined. "I have heard stories," he writes, "of the most terrible, the most unnatural actions, of the most monstrous murders, told with the most spontaneous, childishly merry laughter." Most of us would say that in this delight at the killing of others or the causing of suffering there is something very unfitting. If we were asked why we thought so, we should say that these things involve great evil and are wrong, and that to take delight in what is evil or wrong is plainly unfitting. Now on the subjectivist view, this answer is ruled out. For before someone takes up an attitude toward death, suffering, or their infliction, they have no moral quality at all. There is therefore nothing about them to which an attitude of approval or condemnation could be fitting. They are in themselves neutral, and, so far as they get a moral quality, they get it only through being invested with it by the attitude of the onlooker. But if that is true, why is any attitude more fitting than any other? Would applause, for example, be fitting if, apart from the applause, there was nothing good to applaud? Would condemnation be fitting if, independently of the condemnation, there were nothing bad to condemn? In such a case, any attitude would be as fitting or unfitting as any other, which means that the notion of fitness has lost all point.

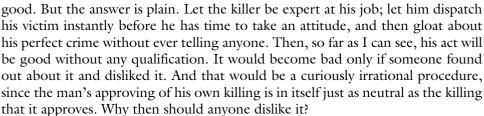
Indeed we are forced to go much farther. If goodness and badness lie in attitudes only and are brought into being by them, those men who greeted death and misery with childishly merry laughter are taking the only sensible line. If there is nothing evil in these things, if they get their moral complexion only from our feeling about them, why shouldn't they be greeted with a cheer? To greet them with repulsion would turn what before was neutral into something bad; it would needlessly bring badness into the world; and even on subjectivist assumptions that does not seem very bright. On the other hand, to greet them with delight would convert what before was neutral into something good; it would bring goodness into the world. If I have murdered a man and wish to remove the stain, the way is clear. It is to cry, "Hurrah for murder."

What is the subjectivist to reply? I can only guess. He may point out that the inflicting of death is *not* really neutral before the onlooker takes his attitude, for the man who inflicted the death no doubt himself took an attitude, and thus the act had a moral quality derived from this. But that makes the case more incredible still, for the man who did the act presumably approved it, and if so it was good in the only sense in which anything is good, and then our conviction that the laughter is unfit is more unaccountable still. It may be replied that the victim, too, had his attitude and that since this was unfavorable, the act was not unqualifiedly









It may be replied that we can defend our dislike on this ground that, if the approval of killing were to go unchecked and spread, most men would have to live in insecurity and fear, and these things are undesirable. But surely this reply is not open; these things are not, on the theory, undesirable, for nothing is; in themselves they are neutral. Why then should I disapprove men's living in this state? The answer may come that if other men live in insecurity and fear, I shall in time be infected myself. But even in my own insecurity and fear there is, on the theory before us, nothing bad whatever, and therefore, if I disapprove them, it is without a shadow of ground and with no more fitness in my attitude than if I cordially cheered them. The theory thus conflicts with our judgments of fitness all along the line.

I come now to a fifth and final difficulty with the theory. It makes mistakes about values impossible. There is a whole nest of interconnected criticisms here, some of which have been made so often that I shall not develop them again, such as that I can never agree or disagree in opinion with anyone else about an ethical matter, and that in these matters I can never be inconsistent with others or with myself. I am not at all content with the sort of analysis which says that the only contradictions in such cases have regard to facts and that contradictions about value are only differences of feeling. I think that if anyone tells me that having a bicuspid out without an anaesthetic is not a bad experience and I say it is a very nasty experience indeed, I am differing with him in opinion, and differing about the degree of badness of the experience. But without pressing this further, let me apply the argument in what is perhaps a fresh direction.

There is an old and merciful distinction that moralists have made for many centuries about conduct – the distinction between what is subjectively and what is objectively right. They have said that in any given situation there is some act which, in view of all the circumstances, would be the best act to do; and this is what would be objectively right. The notion of an objectively right act is the ground of our notion of duty; our duty is always to find and do this act if we can. But of course we often don't find it. We often hit upon and do acts that we think are the right ones, but we are mistaken; and then our act is only subjectively right. Between these two acts the disparity may be continual; Professor Prichard suggested that probably few of us in the course of our lives ever succeed in doing *the* right act.

Now so far as I can see, the new subjectivism would abolish this difference at a stroke. Let us take a case. A boy abuses his small brother. We should commonly say, "That is wrong, but perhaps he doesn't know any better. By reason of bad teaching and a feeble imagination, he may see nothing wrong in what he is doing, and may even be proud of it. If so, his act may be subjectively right, though it is





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miles away from what is objectively right." What concerns me about the new subjectivism is that it prohibits this distinction. If the boy feels this way about his act, then it is right in the only sense in which anything is right. The notion of an objective right lying beyond what he has discovered, and which he ought to seek and do is meaningless. There might, to be sure, be an act that would more generally arouse favorable feelings in others, but that would not make it right for him unless he thought of it and approved it, which he doesn't. Even if he did think of it, it would not be obligatory for him to feel about it in any particular way, since there is nothing in any act, as we have seen, which would make any feeling more suitable than any other.

Now if there is no such thing as an objectively right act, what becomes of the idea of duty? I have suggested that the idea of duty rests on the idea of such an act, since it is always our duty to find that act and do it if we can. But if whatever we feel approval for at the time is right, what is the point of doubting and searching further? Like the little girl in Boston who was asked if she would like to travel, we can answer, "Why should I travel when I'm already there?" If I am reconciled in feeling to my present act, no act I could discover by reflection could be better, and therefore why reflect or seek at all? Such a view seems to me to break the mainspring of duty, to destroy the motive for self-improvement, and to remove the ground for self-criticism. It may be replied that by further reflection I can find an act that would satisfy my feelings more widely than the present one, and that this is the act I should seek. But this reply means either that such general satisfaction is objectively better, which would contradict the theory, or else that, if at the time I don't feel it better, it isn't better, in which case I have no motive for seeking it. When certain self-righteous persons took an inflexible line with Oliver Cromwell, his very Cromwellian reply was, "Bethink ye, gentlemen, by the bowels of Christ, that ye may be mistaken." It was good advice. I hope nobody will take from me the privilege of finding myself mistaken. I should be sorry to think that the self of thirty years ago was as far along the path as the self of today, merely because he was a smug young jackanapes, or even that the paragon of today has as little room for improvement as would be allowed by his myopic complacency.

One final remark. The great problems of the day are international problems. Has the new subjectivism any bearing upon these problems? I think it has, and a somewhat sinister bearing. I would not suggest, of course, that those who hold the theory are one whit less public-spirited than others; surely there are few who could call themselves citizens of the world with more right (if "rights" have meaning any longer) than Lord [Bertrand] Russell. But Lord Russell has confessed himself discontented with his ethical theory, and in view of his breadth of concern, one cannot wonder. For its general acceptance would, so far as one can see, be an international disaster. The assumption behind the old League and the new United Nations was that there is such a thing as right and wrong in the conduct of a nation, a right and wrong that do not depend on how it happens to feel at the time. It is implied, for example, that when Japan invaded Manchuria in 1931 she might be wrong, and that by discussion and argument she might be shown to be wrong. It was implied that when the Nazis invaded Poland they might be wrong,







even though German public sentiment overwhelmingly approved it. On the theory before us, it would be meaningless to call these nations mistaken; if they felt approval for what they did, then it was right with as complete a justification as could be supplied for the disapproval felt by the rest of the world. In the present tension between Russia and ourselves [the USA] over eastern Europe, it is nonsense to speak of the right or rational course for either of us to take; if with all the facts before the two parties, each feels approval for its own course, both attitudes are equally justified or unjustified; neither is mistaken; there is no common reason to which they can take an appeal; there are no principles by which an international court could pronounce on the matter, nor would there be any obligation to obey the pronouncement if it were made. This cuts the ground from under any attempt to establish one's case as right or anyone else's case as wrong. So if our friends the subjectivists still hold their theory after I have applied my little ruler to their knuckles, which of course they will, I have but one request to make of them: Don't advertise it to the people in the Kremlin.

4 How to Derive "Ought" from "Is"

John R. Searle

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I

It is often said that one cannot derive an 'ought' from an 'is'. This thesis, which comes from a famous passage in Hume's *Treatise*, while not as clear as it might be, is at least clear in broad outline: there is a class of statements of fact which is logically distinct from a class of statements of value. No set of statements of fact by themselves entails any statement of value. Put in more contemporary terminology, no set of *descriptive* statements can entail an *evaluative* statement without the addition of at least one evaluative premise. To believe otherwise is to commit what has been called the naturalistic fallacy.

I shall attempt to demonstrate a counter-example to this thesis¹ It is not of course to be supposed that a single counter-example can refute a philosophical thesis, but in the present instance if we can present a plausible counter-example and can in addition give some account or explanation of how and why it is a counter-example, and if we can further offer a theory to back up our counter-example – a theory which will generate an indefinite number of counter-examples – we may at the very least cast considerable light on the original thesis; and possibly, if we can do all these things, we may even incline ourselves to the view that the





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scope of that thesis was more restricted than we had originally supposed. A counter-example must proceed by taking a statement or statements which any proponent of the thesis would grant were purely factual or 'descriptive' (they need not actually contain the word 'is') and show how they are logically related to a statement which a proponent of the thesis would regard as clearly 'evaluative'. (In the present instance it will contain an 'ought'.)²

Consider the following series of statements:

- 1 Jones uttered the words 'I hereby promise to pay you, Smith, five dollars.'
- 2 Jones promised to pay Smith five dollars.
- 3 Jones placed himself under (undertook) an obligation to pay Smith five dollars.
- 4 Jones is under an obligation to pay Smith five dollars.
- 5 Jones ought to pay Smith five dollars.

I shall argue concerning this list that the relation between any statement and its successor, while not in every case one of 'entailment', is none the less not just a contingent relation; and the additional statements necessary to make the relationship one of entailment do not need to involve any evaluative statements, moral principles, or anything of the sort.

Let us begin. How is (1) related to (2)? In certain circumstances, uttering the words in quotation marks in (1) is the act of making a promise. And it is a part of or a consequence of the meaning of the words in (1) that in those circumstances uttering them is promising. 'I hereby promise' is a paradigm device in English for performing the act described in (2), promising.

Let us state this fact about English usage in the form of an extra premise:

(1a) Under certain conditions C anyone who utters the words (sentence) 'I hereby promise to pay you, Smith, five dollars' promises to pay Smith five dollars.

What sorts of things are involved under the rubric 'conditions C'? What is involved will be all those conditions, those states of affairs, which are necessary and sufficient conditions for the utterance of the words (sentence) to constitute the successful performance of the act of promising. The conditions will include such things as that the speaker is in the presence of the hearer Smith, they are both conscious, both speakers of English, speaking seriously. The speaker knows what he is doing, is not under the influence of drugs, not hypnotized or acting in a play, not telling a joke or reporting an event, and so forth. This list will no doubt be somewhat indefinite because the boundaries of the concept of a promise, like the boundaries of most concepts in a natural language, are a bit loose.³ But one thing is clear; however loose the boundaries may be, and however difficult it may be to decide marginal cases, the conditions under which a man who utters 'I hereby promise' can correctly be said to have made a promise are straightforwardly empirical conditions.

So let us add as an extra premise the empirical assumption that these conditions obtain.







(1b) Conditions C obtain.

From (1), (1a) and (1b) we derive (2). The argument is of the form: If C then (if U then P): C for conditions, U for utterance, P for promise. Adding the premises U and C to this hypothetical we derive (2). And as far as I can see, no moral premises are lurking in the logical woodpile. More needs to be said about the relation of (1) to (2), but I reserve that for later.

What is the relation between (2) and (3)? I take it that promising is, by definition, an act of placing oneself under an obligation. No analysis of the concept of promising will be complete which does not include the feature of the promiser placing himself under or undertaking or accepting or recognizing an obligation to the promisee, to perform some future course of action, normally for the benefit of the promisee. One may be tempted to think that promising can be analysed in terms of creating expectations in one's hearers, or some such, but a little reflection will show that the crucial distinction between statements of intention on the one hand and promises on the other lies in the nature and degree of commitment or obligation undertaken in promising.

I am therefore inclined to say that (2) entails (3) straight off, but I can have no objection if anyone wishes to add – for the purpose of formal neatness – the tautological premise:

(2a) All promises are acts of placing oneself under (undertaking) an obligation to do the thing promised.

How is (3) related to (4)? If one has placed oneself under an obligation, then, other things being equal, one is under an obligation. That I take it also is a tautology. Of course it is possible for all sorts of things to happen which will release one from obligations one has undertaken and hence the need for the *ceteris paribus* rider. To get an entailment between (3) and (4) we therefore need a qualifying statement to the effect that:

(3a) Other things are equal.

Formalists, as in the move from (2) to (3), may wish to add the tautological premise:

(3b) All those who place themselves under an obligation are, other things being equal, under an obligation.

The move from (3) to (4) is thus of the same form as the move from (1) to (2): If E then (if PUO then UO): E for other things are equal, PUO for place under obligation and UO for under obligation. Adding the two premises E and PUO we derive UO.

Is (3a), the *ceteris paribus* clause, a concealed evaluative premise? It certainly looks as if it might be, especially in the formulation I have given it, but I think we can show that, though questions about whether other things are equal frequently





involve evaluative considerations, it is not logically necessary that they should in every case. I shall postpone discussion of this until after the next step.

What is the relation between (4) and (5)? Analogous to the tautology which explicates the relation of (3) and (4) there is here the tautology that, other things being equal, one ought to do what one is under an obligation to do. And here, just as in the previous case, we need some premise of the form:

(4a) Other things are equal.

We need the *ceteris paribus* clause to eliminate the possibility that something extraneous to the relation of 'obligation' to 'ought' might interfere.⁴ Here, as in the previous two steps, we eliminate the appearance of enthymeme by pointing out that the apparently suppressed premise is tautological and hence, though formally neat, it is redundant. If, however, we wish to state it formally, this argument is of the same form as the move from (3) to (4): If E then (if UO then O); E for other things are equal, UO for under obligation, O for ought. Adding the premises E and UO we derive O.

Now a word about the phrase 'other things being equal' and how it functions in my attempted derivation. This topic and the closely related topic of defeasibility are extremely difficult and I shall not try to do more than justify my claim that the satisfaction of the condition does not necessarily involve anything evaluative. The force of the expression 'other things being equal' in the present instance is roughly this. Unless we have some reason (that is, unless we are actually prepared to give some reason) for supposing the obligation is void (step 4) or the agent ought not to keep the promise (step 5), then the obligation holds and he ought to keep the promise. It is not part of the force of the phrase 'other things being equal' that in order to satisfy it we need to establish a universal negative proposition to the effect that no reason could ever be given by anyone for supposing the agent is not under an obligation or ought not to keep the promise. That would be impossible and would render the phrase useless. It is sufficient to satisfy the condition that no reason to the contrary can in fact be given.

If a reason is given for supposing the obligation is void or that the promiser ought not to keep the promise, then characteristically a situation calling for evaluation arises. Suppose, for example, we consider a promised act wrong, but we grant that the promiser did undertake an obligation. Ought he to keep the promise? There is no established procedure for objectively deciding such cases in advance, and an evaluation (if that is really the right word) is in order. But unless we have some reason to the contrary, the *ceteris paribus* condition is satisfied, no evaluation is necessary, and the question whether he ought to do it is settled by saying 'he promised'. It is always an open possibility that we may have to make an evaluation in order to derive 'he ought' from 'he promised', for we may have to evaluate a counter-argument. But an evaluation is not logically necessary in every case, for there may as a matter of fact be no counter-arguments. I am therefore inclined to think that there is nothing necessarily evaluative about the *ceteris paribus* condition, even though deciding whether it is satisfied will frequently involve evaluations.







But suppose I am wrong about this: would that salvage the belief in an unbridgeable logical gulf between 'is' and 'ought'? I think not, for we can always rewrite my steps (4) and (5) so that they include the *ceteris paribus* clause as part of the conclusion. Thus from our premises we would then have derived 'Other things being equal Jones ought to pay Smith five dollars', and that would still be sufficient to refute the tradition, for we would still have shown a relation of entailment between descriptive and evaluative statements. It was not the fact that extenuating circumstances can void obligations that drove philosophers to the naturalistic fallacy; it was rather a theory of language, as we shall see later on.

We have thus derived (in as strict a sense of 'derive' as natural languages admit of) an 'ought' from an 'is'. And the extra premises which were needed to make the derivation work were in no case moral or evaluative in nature. They consisted of empirical assumptions, tautologies and descriptions of word usage. It must be pointed out also that the 'ought' is a 'categorical' not a 'hypothetical' ought. (5) does not say that Jones ought to pay up if he wants such and such. It says he ought to pay up, period. Note also that the steps of the derivation are carried on in the third person. We are not concluding 'I ought' from 'I said "I promise"', but 'he ought' from 'he said "I promise"'.

The proof unfolds the connection between the utterance of certain words and the speech act of promising and then in turn unfolds promising into obligation and moves from obligation to 'ought'. The step from (1) to (2) is radically different from the others and requires special comment. In (1) we construe 'I hereby promise ...' as an English phrase having a certain meaning. It is a consequence of that meaning that the utterance of that phrase under certain conditions is the act of promising. Thus by presenting the quoted expressions in (1) and by describing their use in (1a) we have as it were already invoked the institution of promising. We might have started with an even more ground-floor premise than (1) by saying:

(1b) Jones uttered the phonetic sequence:/ai+hirbai+pramis+təpei+yu+smiθ+faiv +dalərz/

We would then have needed extra empirical premises stating that this phonetic sequence was associated in certain ways with certain meaningful units relative to certain dialects.

The moves from (2) to (5) are relatively easy. We rely on definitional connections between 'promise', 'obligate', and 'ought', and the only problem which arises is that obligations can be overridden or removed in a variety of ways and we need to take account of that fact. We solve our difficulty by adding further premises to the effect that there are no contrary considerations, that other things are equal.

II

In this section I intend to discuss three possible objections to the derivation.





First objection

Since the first premise is descriptive and the conclusion evaluative, there must be a concealed evaluative premise in the description of the conditions in (2b).

So far, this argument merely begs the question by assuming the logical gulf between descriptive and evaluative which the derivation is designed to challenge. To make the objection stick, the defender of the distinction would have to show how exactly (2b) must contain an evaluative premise and what sort of premise it might be. Uttering certain words in certain conditions just is promising and the description of these conditions needs no evaluative element. The essential thing is that in the transition from (1) to (2) we move from the specification of a certain utterance of words to the specification of a certain speech act. The move is achieved because the speech act is a conventional act; and the utterance of words, according to the conventions, constitutes the performance of just that speech act.

A variant of this first objection is to say: all you have shown is that 'promise' is an evaluative, not a descriptive, concept. But this objection again begs the question and in the end will prove disastrous to the original distinction between descriptive and evaluative. For that a man uttered certain words and that these words have the meaning they do are surely objective facts. And if the statement of these two objective facts plus a description of the conditions of the utterance is sufficient to entail the statement (2) which the objector alleges to be an evaluative statement (Jones promised to pay Smith five dollars), then an evaluative conclusion is derived from descriptive premises without even going through steps (3), (4) and (5).

Second objection

Ultimately the derivation rests on the principle that one ought to keep one's promises and that is a moral principle, hence evaluative.

I don't know whether 'one ought to keep one's promises' is a 'moral' principle, but whether or not it is, it is also tautological; for it is nothing more than a derivation from the two tautologies:

All promises are (create, are undertakings of, are acceptances of) obligations,

and

One ought to keep (fulfil) one's obligations.

What needs to be explained is why so many philosophers have failed to see the tautological character of this principle. Three things I think have concealed its character from them.

The first is a failure to distinguish external questions about the institution of promising from internal questions asked within the framework of an institution. The questions 'Why do we have such an institution as promising?' and 'Ought we to have such institutionalized forms of obligation as promising?' are external questions asked about and not within the institution of promising. And the question







'Ought one to keep one's promises?' can be confused with or can be taken as (and I think has often been taken as) an external question roughly expressible as 'Ought one to accept the institution of promising?' But taken literally, as an internal question, as a question about promises and not about the institution of promising, the question 'Ought one to keep one's promises?' is as empty as the question 'Are triangles three-sided?' To recognize something as a promise is to grant that, other things being equal, it ought to be kept.

A second fact which has clouded the issue is this. There are many situations, both real and imaginable, where one ought not to keep a promise, where the obligation to keep a promise is overridden by some further considerations, and it was for this reason that we needed those clumsy *ceteris paribus* clauses in our derivation. But the fact that obligations can be overridden does not show that there were no obligations in the first place. On the contrary. And these original obligations are all that is needed to make the proof work.

Yet a third factor is the following. Many philosophers still fail to realize the full force of saying that 'I hereby promise' is a performative expression. In uttering it one performs but does not describe the act of promising. Once promising is seen as a speech act of a kind different from describing, then it is easier to see that one of the features of the act is the undertaking of an obligation. But if one thinks the utterance of 'I promise' or 'I hereby promise' is a peculiar kind of description – for example, of one's mental state – then the relation between promising and obligation is going to seem very mysterious.

Third objection

The derivation uses only a factual or inverted-commas sense of the evaluative terms employed. For example, an anthropologist observing the behaviour and attitudes of the Anglo-Saxons might well go through these derivations, but nothing evaluative would be included. Thus step (2) is equivalent to 'He did what they call promising' and step (5) to 'According to them he ought to pay Smith five dollars.' But since all of the steps (2) to (5) are in *oratio obliqua*, and hence disguised statements of fact, the fact-value distinction remains unaffected.

This objection fails to damage the derivation, for what it says is only that the steps *can* be reconstrued as in *oratio obliqua*, that we can construe them as a series of external statements, that we can construct a parallel (or at any rate related) proof about reported speech. But what I am arguing is that, taken quite literally, without any *oratio obliqua* additions or interpretations, the derivation is valid. That one can construct a similar argument which would fail to refute the fact-value distinction does not show that this proof fails to refute it. Indeed it is irrelevant.

Notes

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- 1 In its modern version. I shall not be concerned with Hume's treatment of the problem.
- 2 If this enterprise succeeds, we shall have bridged the gap between 'evaluative' and 'descriptive' and consequently have demonstrated a weakness in this very terminology. At present, however, my strategy is to play along with the terminology, pretending that the notions of evaluative and descriptive are fairly clear. At the end of the paper I shall state in what respects I think they embody a muddle.
- 3 In addition the concept of a promise is a member of a class of concepts which suffer from looseness of a peculiar kind, viz. defeasibility. Cf. H. L. A. Hart, 'The Ascription of Responsibility and Rights', *Logic and Language*, first series, ed. A. Flew (Oxford, 1951).
- 4 The *ceteris paribus* clause in this step excludes somewhat different sorts of cases from those excluded in the previous step. In general we say, 'He undertook an obligation, but none the less he is not (now) under an obligation when the obligation has been *removed*, e.g. if the promisee says, 'I release you from your obligation.' But we say, 'He is under an obligation, but none the less ought not to fulfil it' in cases where the obligation is *overridden* by some other consideration, e.g. a prior obligation.

5 On Not Deriving "Ought" from "Is"

Antony Flew

From Analysis, 25 (1964): 25-32. Reprinted by permission.

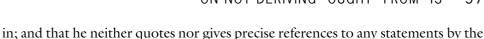
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The word nevertheless seems to have gone round that the idea that there is a radical difference between *ought* and *is* is old hat, something which though still perhaps cherished by out-group back-woodsmen has long since been seen through and discarded by all with-it mainstream philosophers. For instance, in a penetrating article on 'Do illocutionary forces exist?' 1 Mr L. Jonathan Cohen offers some provocative asides: 'the statement-evaluation dichotomy, whatever it may be, is as erroneous on my view as on Austin's'; and 'Indeed there is a case for saying that Austin's recommendation about the word "good" is itself a hangover from the fact-value dichotomy.' Cohen gives no hint as to where and how this dichotomy was so decisively liquidated. But a recent paper by Mr John R. Searle, on 'How to derive "ought" from "is"' can perhaps be seen as an attempt to plug the gap. Searle's stated aim is to show that the Naturalistic Fallacy is not a fallacy, and he gives many signs of thinking of his aspirations in Austinian terms. My object is to show that Searle is entirely unsuccessful, and to suggest that anyone who hopes to succeed where he has failed will have to find other and more powerful arguments.

2. The first point to remark about Searle's article is that he chooses to start from his own characterization of what the Naturalistic Fallacy is supposed to consist







philosophers with whom he wishes to disagree. His characterization runs:

It is often said that one cannot derive an 'ought' from an 'is'. This thesis, which comes from a famous passage in Hume's *Treatise*, while not as clear as it might be, is at least clear in broad outline: there is a class of statements of fact which is logically distinct from a class of statements of value. No set of statements of fact by themselves entails any statement of value. Put in more contemporary terminology, no set of *descriptive* statements can entail an *evaluative* statement without the addition of at least one evaluative premise. To believe otherwise is to commit ... the naturalistic fallacy. (italics here and always as in original)

Let us consider alongside this paragraph from Searle some sentences written by a contemporary protagonist of the view which Searle is supposed to be challenging. These quotations come from K. R. Popper and – significantly – they come from *The Open Society* (1945):

The breakdown of magic tribalism is closely connected with the realization that taboos are different in various tribes, that they are imposed and enforced by man, and that they may be broken without unpleasant repercussions if one can only escape the sanctions imposed by one's fellow-men.... These experiences may lead to a conscious differentiation between the man-enforced normative laws or conventions, and the natural regularities which are beyond his power.... In spite of the fact that this position was reached a long time ago by the Sophist Protagoras ... it is still so little understood that it seems necessary to explain it in some detail.... It is we who impose our standards upon nature, and who introduce in this way morals into the natural world, in spite of the fact that we are part of this world.... It is important for the understanding of this attitude to realize that decisions can never be derived from facts (or statements of facts), although they pertain to facts. The decision, for instance to oppose slavery does not depend upon the fact that all men are born free and equal, and no man is born in chains ... even if they were born in chains, many of us might demand the removal of these chains.... The making of a decision, the adoption of a standard, is a fact. But the norm which has been adopted, is not. That most people agree with the norm 'Thou shalt not steal' is a sociological fact. But the norm 'Thou shalt not steal' is not a fact; and it can never be inferred from sentences describing facts.... It is impossible to derive a sentence stating a norm or a decision from a sentence stating a fact; this is only another way of saying that it is impossible to derive norms or decisions from facts. (vol. I, pp. 50–3)

Popper's account, even in this abbreviated form, is of course much fuller than that given by Searle; and, partly for that reason, it says or suggests many things which are not comprised in Searle's short paragraph. It presents the idea of the Naturalistic Fallacy as involved in the clash of world-outlooks and personal commitments; and it is governed throughout by the notion that 'we are free to form our own moral opinions in a much stronger sense than we are free to form our own opinions as to what the facts are'.² But the most relevant and important difference is that Popper at least suggests, what is true, that the fundamental discrimination in terms of which the Naturalistic Fallacy is being characterized is not, and does not









have to be thought to be, a clearcut feature of all actual discourse. It is not something which you cannot fail to observe everywhere as already there and given, if once you have learnt what to look for. There is, rather, a differentiation which has to be made and insisted upon; and the distinction is one the development of which may go against the grain of set habits and powerful inclinations. Our situation in this case is not at all like that represented in the second chapter of the book of *Genesis*, where God presents to Adam the beasts of the field and the fowl of the air, leaving it to him merely to supply names for each natural kind.

Searle's account of the opposing position seems to suggest, what his later criticism appears to be assuming, that its misguided spokesmen must be committed to the notion: that an *is/ought* dichotomy is something which the alert natural historian of utterances could not fail to notice, as somehow already given; and that no utterances can either combine, or be ambiguous as between, these two sorts of claim. Yet when we turn to Popper, and allow him to speak for himself, we find in his account nothing at all to suggest any commitment to the erroneous ideas: that all the utterances which are actually made must already be clearly and unambiguously either statements of fact or expressions of value; or that every actual utterance is either purely a statement of fact or purely normative. What Popper emphasizes is, rather, the epoch-marking importance of the development of this sort of distinction, the great need to insist upon it, and the difficulty of appreciating fully what it does and what it does not imply.

It is perhaps possible that Searle here, like so many others elsewhere, has been misled by Hume's irony; notwithstanding that Searle himself disclaims concern with 'Hume's treatment of the problem'. For Hume does indeed write as if he was quite modestly claiming only to have noticed, and to have become seized by the vast importance of, a distinction which, however unwittingly, everyone was always and systematically making already:³

I cannot forbear adding to these reasonings an observation, which may, perhaps, be found of some importance. In every system of morality, which I have hitherto met with, I have always remarked, that the author proceeds for some time in the ordinary way of reasoning, and establishes the being of a God, or makes observations concerning human affairs; when of a sudden I am surprised to find, that instead of the usual copulations of propositions *is*, and *is not*, I meet with no proposition that is not connected with an *ought*, or an *ought not*.

3. After this somewhat protracted introduction, designed to refresh memories about what is and is not involved in the position which Searle is supposed to be attacking, we can now at last turn to his arguments. He works with the example of promising: 'The proof unfolds the connection between the utterance of certain words and the speech act of promising and then in turn unfolds promising into obligation and moves from obligation to "ought".' The idea is to start with a purely descriptive premise such as 'Jones uttered the words "I hereby promise to pay you, Smith, five dollars"', or that Jones uttered the corresponding phonetic sequence, and to proceed by a series of deductive moves to the purely normative conclusion 'Jones ought to pay Smith five dollars'. Considerable elaboration







is necessary, and is provided, in the attempt to deal with the complications arising: because the utterance of such words or sounds will not always rate as a making of the promise; and because the prima facie obligation to keep a promise can be nullified or overridden.

It will, in the light of what has been said in section 2, be sufficiently obvious what sort of moves the critic must make if he hopes to drive a wedge into such a proposed proof. He has to distinguish normative and descriptive elements in the meaning of words like *promise*; and to insist that, however willing we may be to accept the package deal in this particular uncontentious case of promising, it is nevertheless still not possible to deduce the normative from the descriptive part of the combination. The best place to insert the wedge in Searle's argument seems to be where he maintains: 'one thing is clear; however loose the boundaries may be, and however difficult it may be to decide marginal cases, the conditions under which a man who utters 'I hereby promise' can correctly be said to have made a promise are straightforwardly empirical conditions'. The weakness becomes glaring if we summon for comparison some obnoxious contentions of the same form. Terms such as nigger or Jew-boy, apostate or infidel, colonialist or kulak no doubt carry, at least when employed in certain circles, both normative and descriptive meanings; and, presumably, the descriptive element of that meaning can correctly be said to apply whenever the appropriate 'straightforwardly empirical conditions' are satisfied. But in these parallel cases most of us, I imagine, would be careful to use one of the several linguistic devices for indicating that we do not commit ourselves to the norms involved, or that we positively repudiate them. Thus, to revert to Searle's example, one could, without any logical impropriety, say of the man who had in suitable circumstances uttered the words 'I hereby promise ...' that he had done what is called (by those who accept the social institution of promising) promising. The oddity of this non-committal piece of pure description would lie simply in the perversity of suggesting a policy of non-involvement in an institution which is surely essential to any tolerable human social life.

- 4. It remains to ask either why these moves do not impinge on Searle as considerable objections or how he thinks to dispose of them. We have already in section 2 offered suggestions bearing on these questions. But more light is to be found by considering in the second part of his article his discussion of 'three possible objections to the derivation'.
- (a) The first of these objections consists in simply asserting that 'Since the first premise is descriptive and the conclusion evaluative, there must be a concealed evaluative premise in the description of the conditions....' To which Searle replies that as it stands this objection just begs the question: it requires to be supplemented with some account of the precise location and nature of the concealed evaluative premise. So far, so unexceptionable. The crunch comes when he continues: 'Uttering certain words in certain conditions just *is* promising and the description of these conditions needs no evaluative element.' For, as we have been urging in section 3, the normative element enters: not with the neutral description of the conditions in which those who accept the social institution of promisemaking and promise-keeping would say that someone had made what they call a





promise; but at the moment when, by using the word *promise* without reservation, we commit ourselves to that institution.

(b) The second objection considered runs: 'Ultimately the derivation rests on the principle that one ought to keep one's promises and that is a moral principle, hence evaluative.' To this Searle responds that, whether or not this is a moral principle, 'it is also tautological'. He then proceeds to offer three suggestions to explain 'why so many philosophers have failed to see the tautological character of this principle'. This is, perhaps, to go rather too fast. For the sentence 'One ought to keep one's promises' is not in itself and unequivocally either tautological or not. It could without too much strain be given either tautological or substantial or even equivocal employments. If the user is prepared to accept that the absence of obligation is a sufficient reason for withdrawing the word *promise*, then the employment is clearly tautological. But if he is to be taken to be referring to certain specific descriptive conditions, and maintaining that, granted those, certain specific things ought to be done, then, surely, the employment is substantial. And if he is insisting that, granted these specific descriptive conditions, then necessarily those things ought to be done; then he would seem to be equivocating between a substantial and a tautological employment.

The first of Searle's suggestions is that some of his opponents have failed 'to distinguish external questions about the institution of promising from internal questions asked within the framework of the institution'. No doubt some have: though it would be slightly surprising and wholly deplorable to find that many philosophers in an Humean tradition had neglected a distinction of a kind for which one of the classical sources is to be found in the third appendix of the second Inquiry. Even so this particular charge rings very badly in the present context. For, as we were urging in section 3, the weakness of Searle's attempted derivation lies precisely in the refusal to allow that the acceptance of a social institution must come between any statement of the purely descriptive conditions for saying that a promise was made, and the drawing of the normative conclusion that something ought to be done.

A more subtle version of the same fault can be seen in Searle's reply to a variant of his first proposed objection, which would protest: 'all you have shown is that "promise" is an evaluative, not a descriptive, concept.' This variant, he claims, 'in the end will prove disastrous to the original distinction between descriptive and evaluative. For that a man uttered certain words and that these words have the meaning that they do are surely objective facts. And if the statement of these two objective facts plus a description of the conditions of the utterance is sufficient to entail the statement ... which the objector alleges to be an evaluative statement ... then an evaluative conclusion is derived from descriptive premises ...'. But here again it is both necessary and decisive to insist on distinguishing: between a detached report on the meanings which some social group gives to certain value words; and the unreserved employment of those words by an engaged participant. For it is between the former and the latter that there comes exactly that commitment to the incapsulated values which alone warrants us to draw the normative conclusions.

Searle's other two suggestions both refer to peculiarities which make his chosen example especially tricky to handle: the second notices the difficulties which arise









because the prima facie obligation to keep a promise made may sometimes properly be overridden by other claims: and the third takes cognizance of the fact that the first person present tense 'I promise' is performative. It is not perhaps altogether clear why failure to take the measure of this insight – for which again a classical source can be found in Hume⁴ – is supposed to encourage the idea that 'One ought to keep one's promises' is not tautological. What Searle says is: 'If one thinks the utterance of "I promise" or "I hereby promise" is a peculiar kind of description ... then the relation between promising and obligation is going to seem very mysterious.' Certainly if one thinks that, then there will be a mystery as to why the utterance of these words is construed, by anyone who accepts the institution of promising, as involving the incurring of an obligation. But this is no reason at all for saying that the same misguided person must also by the same token find something mysterious about the notion that, supposing that someone has promised, it follows necessarily that he is obliged.

This is a good occasion to say that where we have spoken of a descriptive element in the meaning of *promise*, we were, of course, intending to include only uses other than the first person present performative. Fortunately the complications connected with that use can for present purposes be largely ignored. For in Searle's candidate proof 'I promise' is mentioned, not used; and so our criticism insists that the normative premise is to be found at the point where the performance is characterized, unreservedly, as a promise.

(c) The third objection considered is that: 'The derivation uses only a factual or inverted-commas sense of the evaluative terms employed.' This discussion is the most interesting for us. It is here that Searle comes nearest to recognizing, and to trying to deal with, the rather obvious sort of criticism which we have been deploying. In formulating this objection Searle recognizes the distinction: between the employment of a term like *promise* in a detached anthropological description of a social practice; and the use of the same term, without reservation, by a committed participant. His reply is: 'This objection fails to damage the derivation, for what it says is only that the steps *can* be reconstrued as in *oratio obliqua*.... That one can construct a similar argument which would fail to refute the fact-value distinction does not show that this proof fails to refute it. Indeed it is irrelevant.'

This, of course, is true. And if all spokesmen for the opposition were such men of straw it would be a very easy matter to consign them to the garbage dump. What is so extraordinary is that, having apparently allowed the crucial distinction, Searle fails to notice the decisive objection: that his step from (1), 'Jones uttered the words "I hereby promise to pay you, Smith, five dollars" to (2), 'Jones promised to pay Smith five dollars' is fallacious; unless, that is, we are supposed, as we are not, to construe (2) as being purely descriptive, as being, as it were, in *oratio obliqua*.

To explain Searle's oversight the only philosophically relevant suggestions we can offer are those indicated in section 2. Yet it really is extremely hard to believe that he is attributing to his opponents the assumptions: that all our discourse is already divided into elements which are either purely normative or exclusively descriptive; and that no legitimate expression could combine in its meaning both normative and descriptive components. For, though such misconceptions could







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conceivably be derived from a wooden and unsophisticated reading of some of those sentences in the *Treatise*, such a construction must at once make a mystery of any claim that attention to this distinction 'would subvert all the vulgar systems of morality'. This sort of thing could scarcely even be thought – as quite clearly it has been thought by many of the most distinguished protagonists of the idea of the Naturalistic Fallacy – if what was at stake really was just a matter of noticing a division already clearly and universally obtaining; rather than, as of course it is, a matter of insisting on making discriminations where often there is every sort of combination and confusion....

Notes

- 1 Philosophical Quarterly, 14 (1964).
- 2 R. M. Hare, *Freedom and Reason* (Oxford, 1963), p. 2. The same author's *The Language of Morals* (Oxford, 1952) is another excellent source for the sophisticated and flexible handling of the idea of the Naturalistic Fallacy; and Hare is, of course, perfectly well aware that the same terms and expressions may combine both descriptive and normative meanings and hence that normative standards are incapsulated in certain uses of such terms.
- 3 D. Hume, Treatise, III. i. I.
- 4 *Treatise*, III. ii. 5, 'Of the obligation of promises'.

6 Moral Beliefs

Philippa Foot

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Ι

To many people it seems that the most notable advance in moral philosophy during the past fifty years or so has been the refutation of naturalism; and they are a little shocked that at this late date such an issue should be reopened. It is easy to understand their attitude: given certain apparently unquestionable assumptions, it would be about as sensible to try to reintroduce naturalism as to try to square the circle. Those who see it like this have satisfied themselves that they know in advance that any naturalistic theory must have a catch in it somewhere, and are put out at having to waste more time exposing an old fallacy. This paper is an attempt to persuade them to look critically at the premises on which their arguments are based.





It would not be an exaggeration to say that the whole of moral philosophy, as it is now widely taught, rests on a contrast between statements of fact and evaluations, which runs something like this: 'The truth or falsity of statements of fact is shown by means of evidence; and what counts as evidence is laid down in the meaning of the expressions occurring in the statement of fact. (For instance, the meaning of "round" and "flat" made Magellan's voyages evidence for the roundness rather than the flatness of the Earth; someone who went on questioning whether the evidence was evidence could eventually be shown to have made some linguistic mistake.) It follows that no two people can make the same statement and count completely different things as evidence; in the end one at least of them could be convicted of linguistic ignorance. It also follows that if a man is given good evidence for a factual conclusion he cannot just refuse to accept the conclusion on the ground that in his scheme of things this evidence is not evidence at all. With evaluations, however, it is different. An evaluation is not connected logically with the factual statements on which it is based. One man may say that a thing is good because of some fact about it; and another may refuse to take that fact as any evidence at all, for nothing is laid down in the meaning of "good" which connects it with one piece of "evidence" rather than another. It follows that a moral eccentric could argue to moral conclusions from quite idiosyncratic premises; he could say, for instance, that a man was a good man because he clasped and unclasped his hands, and never turned NNE after turning SSW. He could also reject someone else's evaluation simply by denying that his evidence was evidence at all.

'The fact about "good" which allows the eccentric still to use this term without falling into a morass of meaninglessness, is its "action-guiding" or "practical" function. This it retains; for like everyone else he considers himself bound to choose the things he calls "good" rather than those he calls "bad". Like the rest of the world he uses "good" in connection only with a "pro-attitude"; it is only that he has pro-attitudes to quite different things, and therefore calls them good.'

There are here two assumptions about 'evaluations', which I will call assumption (1) and assumption (2).

Assumption (1) is that some individual may, without logical error, base his beliefs about matters of value entirely on premises which no one else would recognize as giving any evidence at all. Assumption (2) is that, given the kind of statement which other people regard as evidence for an evaluative conclusion, he may refuse to draw the conclusion because *this* does not count as evidence for *him*.

Let us consider assumption (1). We might say that this depends on the possibility of keeping the meaning of 'good' steady through all changes in the facts about anything which are to count in favour of its goodness. (I do not mean, of course, that a man can make changes as fast as he chooses; only that, whatever he has chosen, it will not be possible to rule him out of order.) But there is a better formulation, which cuts out trivial disputes about the meaning which 'good' happens to have in some section of the community. Let us say that the assumption is that the evaluative function of 'good' can remain constant through changes in the





evaluative principle; on this ground it could be said that even if no one can call a man *good* because he clasps and unclasps his hand, he can commend him or express his *pro-attitude*, towards him, and if necessary can invent a new moral vocabulary to express his unusual moral code.

Those who hold such a theory will naturally add several qualifications. In the first place, most people now agree with Hare, against Stevenson, that such words as 'good' only apply to individual cases through the application of general principles, so that even the extreme moral eccentric must accept principles of commendation. In the second place 'commending', 'having a pro-attitude', and so on, are supposed to be connected with doing and choosing, so that it would be impossible to say, e.g. that a man was a good man only if he lived for a thousand years. The range of evaluation is supposed to be restricted to the range of possible action and choice. I am not here concerned to question these supposed restrictions on the use of evaluative terms, but only to argue that they are not enough.

The crucial question is this. Is it possible to extract from the meaning of words such as 'good' some element called 'evaluative meaning' which we can think of as externally related to its objects? Such an element would be represented, for instance, in the rule that when any action was 'commended' the speaker must hold himself bound to accept an imperative 'let me do these things'. This is externally related to its object because, within the limitation which we noticed earlier, to possible actions, it would make sense to think of anything as the subject of such 'commendation'. On this hypothesis a moral eccentric could be described as commending the clasping of hands as the action of a good man, and we should not have to look for some background to give this supposition sense. That is to say, on this hypothesis the clasping of hands could be commended without any explanation; it could be what those who hold such theories call 'an ultimate moral principle'.

I wish to say that this hypothesis is untenable, and that there is no describing the evaluative meaning of 'good', evaluation, commending, or anything of the sort, without fixing the object to which they are supposed to be attached. Without first laying hands on the proper object of such things as evaluation, we shall catch in our net either something quite different, such as accepting an order or making a resolution, or else nothing at all.

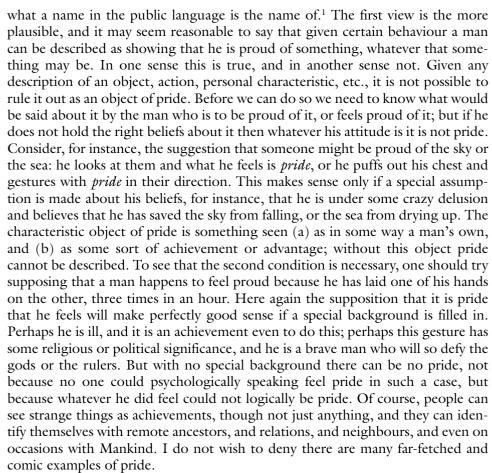
Before I consider this question, I shall first discuss some other mental attitudes and beliefs which have this internal relation to their object. By this I hope to clarify the concept of internal relation to an object, and incidentally, if my examples arouse resistance, but are eventually accepted, to show how easy it is to overlook an internal relation where it exists.

Consider, for instance, pride.

People are often surprised at the suggestion that there are limits to the things a man can be proud of, about which indeed he can feel pride. I do not know quite what account they want to give of pride; perhaps something to do with smiling and walking with a jaunty air, and holding an object up where other people can see it; or perhaps they think that pride is a kind of internal sensation, so that one might naturally beat one's breast and say 'pride is something I feel *here*'. The difficulties of the second view are well known; the logically private object cannot be







We could have chosen many other examples of mental attitudes which are internally related to their object in a similar way. For instance, fear is not just trembling, and running, and turning pale; without the thought of some menacing evil no amount of this will add up to fear. Nor could anyone be said to feel dismay about something he did not see as bad; if his thoughts about it were that it was altogether a good thing, he could not say that (oddly enough) what he felt about it was dismay. 'How odd, I feel dismayed when I ought to be pleased' is the prelude to a hunt for the adverse aspect of the thing, thought of as lurking behind the pleasant façe;ade. But someone may object that pride and fear and dismay are feelings or emotions and therefore not a proper analogy for 'commendation', and there will be an advantage in considering a different kind of example. We could discuss, for instance, the belief that a certain thing is dangerous, and ask whether this could logically be held about anything whatsoever. Like 'this is good', 'this is dangerous' is an assertion, which we should naturally accept or reject by speaking of its truth or falsity; we seem to support such statements with evidence, and moreover there may seem to be a 'warning function' connected with the word 'dangerous' as there is supposed to be a 'commending function' connected with the word 'good'. For suppose that philosophers, puzzled about







injury or death.

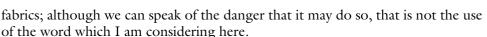
the property of dangerousness, decided that the word did not stand for a property at all, but was essentially a practical or action-guiding term, used for warning. Unless used in an 'inverted comma sense' the word 'dangerous' was used to warn, and this meant that anyone using it in such a sense committed himself to avoiding the things he called dangerous, to preventing other people from going near them, and perhaps to running in the opposite direction. If the conclusion were not obviously ridiculous, it would be easy to infer that a man whose application of the term was different from ours throughout might say that the oddest things were dangerous without fear of disproof; the idea would be that he could still be described as 'thinking them dangerous', or at least as 'warning', because by his attitude and actions he would have fulfilled the conditions for these things. This is nonsense because without its proper object warning like believing dangerous, will not be there. It is logically impossible to warn about anything not thought of as threatening evil, and for danger we need a particular kind of serious evil such as

There are, however, some differences between thinking a thing dangerous and feeling proud, frightened or dismayed. When a man says that something is dangerous he must support his statement with a special kind of evidence; but when he says that he feels proud or frightened or dismayed the description of the object of his pride or fright or dismay does not have quite this relation to his original statement. If he is shown that the thing he was proud of was not his after all, or was not after all anything very grand, he may have to say that his pride was not justified, but he will not have to take back the statement that he was proud. On the other hand, someone who says that a thing is dangerous, and later sees that he made a mistake in thinking that an injury might result from it, has to go back on his original statement and admit that he was wrong. In neither case, however, is the speaker able to go on as before. A man who discovered that it was not his pumpkin but someone else's which had won the prize could only say that he still felt proud, if he could produce some other ground for pride. It is in this way that even feelings are logically vulnerable to facts.

It will probably be objected against these examples that for part of the way at least they beg the question. It will be said that indeed a man can only be proud of something he thinks a good action, or an achievement, or a sign of noble birth; as he can only feel dismay about something which he sees as bad, frightened at some threatened evil; similarly he can only warn if he is also prepared to speak, for instance, of injury. But this will only limit the range of possible objects of those attitudes and beliefs if the range of these terms is limited in its turn. To meet this objection I shall discuss the meaning of 'injury' because this is the simplest case. Anyone who feels inclined to say that anything could be counted as an achievement, or as the evil of which people were afraid, or about which they felt dismayed, should just try this out. I wish to consider the proposition that anything could be thought of as dangerous, because if it causes injury it is dangerous, and anything could be counted as an injury. I shall consider bodily injury because this is the injury connected with danger; it is not correct to put up a notice by the roadside reading 'Danger!' on account of bushes which might scratch a car. Nor can a substance be labelled 'dangerous' on the ground that it can injure delicate







When a body is injured it is changed for the worse in a special way, and we want to know which changes count as injuries. First of all, it matters how an injury comes about; e.g. it cannot be caused by natural decay. Then it seems clear that not just any kind of thing will do, for instance, any unusual mark on the body, however much trouble a man might take to have it removed. By far the most important class of injuries are injuries to a part of the body, counting as injuries because there is interference with the function of that part; injury to a leg, an eye, an ear, a hand, a muscle, the heart, the brain, the spinal cord. An injury to an eye is one that affects, or is likely to affect, its sight; an injury to a hand one which makes it less well able to reach out and grasp, and perform other operations of this kind. A leg can be injured because its movements and supporting power can be affected; a lung because it can become too weak to draw in the proper amount of air. We are most ready to speak of an injury where the function of a part of the body is to perform a characteristic operation, as in these examples. We might hesitate to say that a skull can be injured, and might prefer to speak of damage to it, since although there is indeed a function (a protective function) there is no operation. But thinking of the protective function of the skull we may want to speak of injury here. In so far as the concept of *injury* depends on that of *function* it is narrowly limited, since not even every use to which a part of the body is put will count as its function. Why is it that, even if it is the means by which they earn their living, we would never consider the removal of the dwarf's hump or the bearded lady's beard as a bodily injury? It will be tempting to say that these things are disfigurements, but this is not the point; if we suppose that a man who had some invisible extra muscle made his living as a court jester by waggling his ears, the ear would not have been injured if this were made to disappear. If it were natural to men to communicate by movements of the ear, then ears would have the function of signalling (we have no word for this kind of 'speaking') and an impairment of this function would be an injury; but things are not like this. This court jester would use his ears to make people laugh, but this is not the function of ears.

No doubt many people will feel impatient when such facts are mentioned, because they think that it is quite unimportant that this or that *happens* to be the case, and it seems to them arbitrary that the loss of the beard, the hump, or the ear muscle would not be called an injury. Isn't the loss of that by which one makes one's living a pretty catastrophic loss? Yet it seems quite natural that these are not counted as injuries if one thinks about the conditions of human life, and contrasts the loss of a special ability to make people gape or laugh with the ability to see, hear, walk, or pick things up. The first is only needed for one very special way of living; the other in any foreseeable future for any man. This restriction seems all the more natural when we observe what other threats besides that of injury can constitute danger: of death, for instance, or mental derangement. A shock which could cause mental instability or impairment of memory would be called dangerous, because a man needs such things as intelligence, memory, and concentration as he needs sight or hearing or the use of hands. Here we do not speak of injury unless it is possible to connect the impairment with some







physical change, but we speak of danger because there is the same loss of a capacity which any man needs.

There can be injury outside the range we have been considering; for a man may sometimes be said to have received injuries where no part of his body has had its function interfered with. In general, I think that any blow which disarranged the body in such a way that there was lasting pain would inflict an injury, even if no other ill resulted, but I do not know of any other important extension of the concept.

It seems therefore that since the range of things which can be called injuries is quite narrowly restricted, the word 'dangerous' is restricted in so far as it is connected with injury. We have the right to say that a man cannot decide to call just anything dangerous, however much he puts up fences and shakes his head.

So far I have been arguing that such things as pride, fear, dismay, and the thought that something is dangerous have an internal relation to their object, and hope that what I mean is becoming clear. Now we must consider whether those attitudes or beliefs which are the moral philosopher's study are similar, or whether such things as 'evaluation' and 'thinking something good' and 'commendation' could logically be found in combination with any object whatsoever. All I can do here is to give an example which may make this suggestion seem implausible, and to knock away a few of its supports. The example will come from the range of trivial and pointless actions such as we were considering in speaking of the man who clasped his hands three times an hour, and we can point to the oddity of the suggestion that this can be called a good action. We are bound by the terms of our question to refrain from adding any special background, and it should be stated once more that the question is about what can count in favour of the goodness or badness of a man or an action, and not what could be, or be thought, good or bad with a special background. I believe that the view I am attacking often seems plausible only because the special background is surreptitiously introduced.

Someone who said that clasping the hands three times in an hour was a good action would first have to answer the question 'How do you mean?' For the sentence 'this is a good action' is not one which has a clear meaning. Presumably, since our subject is moral philosophy, it does not here mean 'that was a good thing to do' as this might be said of a man who had done something sensible in the course of any enterprise whatever; we are to confine our attention to 'the moral use of "good"'. I am not clear that it makes sense to speak of a 'moral use of "good", but we can pick out a number of cases which raise moral issues. It is because these are so diverse and because 'this is a good action' does not pick out any one of them, that we must ask 'How do you mean?' For instance, some things that are done fulfil a duty, such as the duty of parents to children or children to parents. I suppose that when philosophers speak of good actions they would include these. Some come under the heading of a virtue such as charity, and they will be included too. Others again are actions which require the virtues of courage or temperance, and here the moral aspect is due to the fact that they are done in spite of fear or the temptation of pleasure; they must indeed be done for the sake of some real or fancied good, but not necessarily what philosophers would want





to call a moral good. Courage is not *particularly* concerned with saving other people's lives, or temperance with leaving them their share of the food and drink, and the goodness of *what is done* may here be all kinds of usefulness. It is because there are these very diverse cases included (I suppose) under the expression 'a good action' that we should refuse to consider applying it without asking what is meant, and we should now ask what is intended when someone is supposed to say that 'clasping the hands three times in an hour is a good action'. Is it supposed that this action fulfils a duty? Then in virtue of what does a man have this duty, and to whom does he owe it? We have promised not to slip in a special background, but he cannot possibly have a *duty* to clasp his hands unless such a background exists. Nor could it be an act of charity, for it is not thought to do anyone any good, nor again a gesture of humility unless a special assumption turns it into this. The action could be courageous, but only if it were done both in the face of fear and for the sake of a good; and we are not allowed to put in special circumstances which could make this the case.

I am sure that the following objection will now be raised. 'Of course clasping one's hands three times in an hour cannot be brought under one of the virtues which we recognize, but that is only to say that it is not a good action by our current moral code. It is logically possible that in a quite different moral code quite different virtues should be recognized, for which we have not even got a name.' I cannot answer this objection properly, for that would need a satisfactory account of the concept of a virtue. But anyone who thinks it would be easy to describe a new virtue connected with clasping the hands three times in an hour should just try. I think he will find that he has to cheat, and suppose that in the community concerned the clasping of hands has been given some special significance, or is thought to have some special effect. The difficulty is obviously connected with the fact that without a special background there is no possibility of answering the question 'What's the point?' It is no good saying that there would be a point in doing the action because the action was a morally good action: the question is how it can be given any such description if we cannot first speak about the point. And it is just as crazy to suppose that we can call anything the point of doing something without having to say what the point of that is. In clasping one's hands one may make a slight sucking noise, but what is the point of that? It is surely clear that moral virtues must be connected with human good and harm, and that it is quite impossible to call anything you like good or harm. Consider, for instance, the suggestion that a man might say he had been harmed because a bucket of water had been taken out of the sea. As usual it would be possible to think up circumstances in which this remark would make sense; for instance, when coupled with a belief in magical influences; but then the harm would consist in what was done by the evil spirits, not in the taking of the water from the sea. It would be just as odd if someone were supposed to say that harm had been done to him because the hairs of his head had been reduced to an even number.2

I conclude that assumption (1) is very dubious indeed, and that no one should be allowed to speak as if we can understand 'evaluation', 'commendation' or 'pro-attitude', whatever the actions concerned.





II

I propose now to consider what was called assumption (2), which said that a man might always refuse to accept the conclusion of an argument about values, because what counted as evidence for other people did not count for him. Assumption (2) could be true even if assumption (1) were false, for it might be that once a particular question of values - say a moral question - had been accepted, any disputant was bound to accept particular pieces of evidence as relevant, the same pieces as everyone else, but that he could always refuse to draw any moral conclusions whatsoever or to discuss any questions which introduced moral terms. Nor do we mean 'he might refuse to draw the conclusion' in the trivial sense in which anyone can perhaps refuse to draw any conclusion; the point is that any statement of value always seems to go beyond any statement of fact, so that he might have a reason for accepting the factual premises but refusing to accept the evaluative conclusion. That this is so seems to those who argue in this way to follow from the practical implications of evaluation. When a man uses a word such as 'good' in an 'evaluative' and not an 'inverted comma' sense, he is supposed to commit his will. From this it has seemed to follow inevitably that there is a logical gap between fact and value; for is it not one thing to say that a thing is so, and another to have a particular attitude towards its being so; one thing to see that certain effects will follow from a given action, and another to care? Whatever account was offered of the essential feature of evaluation – whether in terms of feelings, attitudes, the acceptance of imperatives or what not – the fact remained that with an evaluation there was a committal in a new dimension, and that this was not guaranteed by any acceptance of facts.

I shall argue that this view is mistaken; that the practical implication of the use of moral terms has been put in the wrong place, and that if it is described correctly the logical gap between factual premises and moral conclusion disappears.

In this argument it will be useful to have as a pattern the practical or 'actionguiding' force of the word 'injury', which is in some, though not all, ways similar to that of moral terms. It is clear, I think, that an injury is necessarily something bad and therefore something which as such anyone always has a reason to avoid, and philosophers will therefore be tempted to say that anyone who uses 'injury' in its full 'actionguiding' sense commits himself to avoiding the things he calls injuries. They will then be in the usual difficulties about the man who says he knows he ought to do something but does not intend to do it; perhaps also about weakness of the will. Suppose that instead we look again at the kinds of things which count as injuries, to see if the connection with the will does not start here. As has been shown, a man is injured whenever some part of his body, in being damaged, has become less well able to fulfil its ordinary function. It follows that he suffers a disability, or is liable to do so; with any injured hand he will be less well able to pick things up, hold on to them, tie them together or chop them up, and so on. With defective eyes there will be a thousand other things he is unable to do, and in both cases we should naturally say that he will often be unable to get what he wants to get or avoid what he wants to avoid.

Philosophers will no doubt seize on the word 'want', and say that if we suppose that a man happens to want the things which an injury to his body prevents him





from getting, we have slipped in a supposition about a 'pro-attitude' already; and that anyone who does not happen to have these wants can still refuse to use 'injury' in its prescriptive, or 'action-guiding' sense. And so it may seem that the only way to make a *necessary* connection between 'injury' and the things that are to be avoided, is to say that it is only used in an 'action-guiding' sense when applied to something the speaker intends to avoid. But we should look carefully at the crucial move in that argument, and query the suggestion that someone might happen not to want anything for which he would need the use of hands or eyes. Hands and eyes, like ears and legs, play a part in so many operations that a man could only be said not to need them if he had no wants at all. That such people exist, in asylums, is not to the present purpose at all; the proper use of his limbs is something a man has reason to want if he wants anything.

I do not know just what someone who denies this proposition could have in mind. Perhaps he is thinking of changing the facts of human existence, so that merely wishing, or the sound of the voice, will bring the world to heel? More likely he is proposing to rig the circumstances of some individual's existence within the framework of the ordinary world, by supposing for instance that he is a prince whose servants will sow and reap and fetch and carry for him, and so use their hands and eyes in his service that he will not need the use of his. Let us suppose that such a story could be told about a man's life; it is wildly implausible, but let us pretend that it is not. It is clear that in spite of this we could say that any man had a reason to shun injury; for even if at the end of his life it could be said that by a strange set of circumstances he had never needed the use of his eyes, or his hands, this could not possibly be foreseen. Only by once more changing the facts of human existence, and supposing every vicissitude foreseeable, could such a supposition be made.

This is not to say that an injury might not bring more incidental gain than necessary harm: one has only to think of times when the order has gone out that able-bodied men are to be put to the sword. Such a gain might even, in some peculiar circumstances, be reliably foreseen, so that a man would have even better reason for seeking than for avoiding injury. In this respect the word 'injury' differs from terms such as 'injustice'; the practical force of 'injury' means only that anyone has α reason to avoid injuries, not that he has an overriding reason to do so.

It will be noticed that this account of the 'action-guiding' force of 'injury' links it with reasons for acting rather than with actually doing something. I do not think, however, that this makes it a less good pattern for the 'action-guiding' force of moral terms. Philosophers who have supposed that actual action was required if 'good' were to be used in a sincere evaluation have got into difficulties over weakness of will, and they should surely agree that enough has been done if we can show that any man has reason to aim at virtue and avoid vice. But is this impossibly difficult if we consider the kinds of things that count as virtue and vice? Consider, for instance, the cardinal virtues, prudence, temperance, courage and justice. Obviously any man needs prudence, but does he not also need to resist the temptation of pleasure when there is harm involved? And how could it be argued that he would never need to face what was fearful for the sake of some good? It is not obvious what someone would mean if he said that temperance or





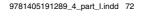
courage were not good qualities, and this not because of the 'praising' sense of these *words*, but because of the things that courage and temperance are.

I should like to use these examples to show the artificiality of the notions of 'commendation' and of 'pro-attitudes' as these are commonly employed. Philosophers who talk about these things will say that after the facts have been accepted - say that X is the kind of man who will climb a dangerous mountain, beard an irascible employer for a rise in pay, and in general face the fearful for the sake of something he thinks worth while - there remains the question of 'commendation' or 'evaluation'. If the word 'courage' is used they will ask whether or not the man who speaks of another as having courage is supposed to have commended him. If we say 'yes' they will insist that the judgement about courage goes beyond the facts, and might therefore be rejected by someone who refused to do so; if we say 'no' they will argue that 'courage' is being used in a purely descriptive or 'inverted commas sense', and that we have not got an example of the evaluative use of language which is the moral philosopher's special study. What sense can be made, however, of the question 'does he commend?' What is this extra element which is supposed to be present or absent after the facts have been settled? It is not a matter of liking the man who has courage, or of thinking him altogether good, but of 'commending him for his courage'. How are we supposed to do that? The answer that will be given is that we only commend someone else in speaking of him as courageous if we accept the imperative 'let me be courageous' for ourselves. But this is quite unnecessary. I can speak of someone else as having the virtue of courage, and of course recognize it as a virtue in the proper sense while knowing that I am a complete coward, and making no resolution to reform. I know that I should be better off if I were courageous, and so have a reason to cultivate courage, but I may also know that I will do nothing of the kind.

If someone were to say that courage was not a virtue he would have to say that it was not a quality by which a man came to act well. Perhaps he would be thinking that someone might be worse off for his courage, which is true, but only because an incidental harm might arise. For instance, the courageous man might have underestimated a risk, and run into some disaster which a cowardly man would have avoided because he was not prepared to take any risk at all. And his courage, like any other virtue, could be the cause of harm to him because possessing it he fell into some disastrous state of pride.³ Similarly, those who question the virtue of temperance are probably thinking not of the virtue itself but of men whose temperance has consisted in resisting pleasure for the sake of some illusory good, or those who have made this virtue their pride....

Notes

- See L. Wittgenstein, *Philosophical Investigations* (1967), especially sections 243–315.
- In face of this sort of example many philosophers take refuge in the thicket of aesthetics. It would be interesting to know if they are willing to let their whole case rest on the possibility that there might be aesthetic objections to what was done.
- 3 Cf. Aquinas, Summa Theologica, I–II, q. 55, Art. 4.









7 Moral Disagreement Today and the Claims of Emotivism

Alasdair MacIntyre

From After Virtue (Notre Dame, IN: University of Notre Dame Press, 1984), pp. 6–18. Reprinted by permission.

The most striking feature of contemporary moral utterance is that so much of it is used to express disagreements; and the most striking feature of the debates in which these disagreements are expressed is their interminable character. I do not mean by this just that such debates go on and on and on – although they do – but also that they apparently can find no terminus. There seems to be no emotional way of securing moral agreement in our culture. Consider three examples of just such contemporary moral debate framed in terms of characteristic and well-known rival moral arguments:

- 1(a) A just war is one in which the good to be achieved outweighs the evils involved in waging the war and in which a clear distinction can be made between combatants whose lives are at stake and innocent noncombatants. But in a modern war calculation of future escalation is never reliable and no practically applicable distinction between combatants and noncombatants can be made. Therefore no modern war can be a just war and we all now ought to be pacifists.
- (b) If you wish for peace, prepare for war. The only way to achieve peace is to deter potential aggressors. Therefore you must build up your armaments and make it clear that going to war on any particular scale is not necessarily ruled out by your policies. An inescapable part of making *this* clear is being prepared both to fight limited wars and to go not only to, but beyond, the nuclear brink on certain types of occasion. Otherwise you will not avoid war *and* you will be defeated.
- (c) Wars between the Great Powers are purely destructive; but wars waged to liberate oppressed groups, especially in the Third World, are a necessary and therefore justified means for destroying the exploitative domination which stands between mankind and happiness.
- 2(a) Everybody has certain rights over his or her own person, including his or her own body. It follows from the nature of these rights that at the stage when the embryo is essentially part of the mother's body, the mother has a right to make her own uncoerced decision on whether she will have an abortion or not. Therefore abortion is morally permissible and ought to be allowed by law.
- (b) I cannot will that my mother should have had an abortion when she was pregnant with me, except perhaps if it had been certain that the embryo







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- was dead or gravely damaged. But if I cannot will this in my own case, how can I consistently deny to others the right to life that I claim for myself? I would break the so-called Golden Rule unless I denied that a mother has in general a right to an abortion. I am not of course thereby committed to the view that abortion ought to be legally prohibited.
- (c) Murder is wrong. Murder is the taking of innocent life. An embryo is an identifiable individual, differing from a newborn infant only in being at an earlier stage on the long road to adult capacities and, if any life is innocent, that of an embryo is. If infanticide is murder, as it is, abortion is murder. So abortion is not only morally wrong, but ought to be legally prohibited.
- 3(a) Justice demands that every citizen should enjoy, so far as is possible, an equal opportunity to develop his or her talents and his or her other potentialities. But prerequisites for the provision of such equal opportunity include the provision of equal access to health care and to education. Therefore justice requires the governmental provision of health and educational services, financed out of taxation, and it also requires that no citizen should be able to buy an unfair share of such services. This in turn requires the abolition of private schools and private medical practice.
- (b) Everybody has a right to incur such and only such obligations as he or she wishes, to be free to make such and only such contracts as he or she desires and to determine his or her own free choices. Physicians must therefore be free to practice on such terms as they desire and patients must be free to choose among physicians; teachers must be free to teach on such terms as they choose and pupils and parents to go where they wish for education. Freedom thus requires not only the existence of private practice in medicine and private schools in education, but also the abolition of those restraints on private practice which are imposed by licensing and regulation by such bodies as universities, medical schools, the AMA and the state.

These arguments have only to be stated to be recognized as being widely influential in our society. They have of course their articulate expert spokesmen: Herman Kahn and the Pope, Che Guevara and Milton Friedman are among the authors who have produced variant versions of them. But it is their appearance in newspaper editorials and high-school debates, on radio talk shows and letters to congressmen, in bars, barracks, and boardrooms, it is their typicality that makes them important examples here. What salient characteristics do these debates and disagreements share?

They are of three kinds. The first is what I shall call, adapting an expression from the philosophy of science, the conceptual incommensurability of the rival arguments in each of the three debates. Every one of the arguments is logically valid or can be easily expanded so as to be made so; the conclusions do indeed follow from the premises. But the rival premises are such that we possess no rational way of weighing the claims of one as against another. For each premise employs some quite different normative or evaluative concept from the others, so that the claims made upon us are of quite different kinds. In the first argument, for example, premises which invoke justice and innocence are at odds with premises which







invoke success and survival; in the second, premises which invoke rights are at odds with those which invoke universalizability; in the third it is the claim of equality that is matched against that of liberty. It is precisely because there is in our society no established way of deciding between these claims that moral argument appears to be necessarily interminable. From our rival conclusions we can argue back to our rival premises; but when we do arrive at our premises argument ceases and the invocation of one premise against another becomes a matter of pure assertion and counter-assertion. Hence perhaps the slightly shrill tone of so much moral debate.

But that shrillness may have an additional source. For it is not only in arguments with others that we are reduced so quickly to assertion and counter-assertion; it is also in the arguments that we have within ourselves. For whenever an agent enters the forum of public debate he has already presumably, explicitly or implicitly, settled the matter in question in his own mind. Yet if we possess no unassailable criteria, no set of compelling reasons by means of which we may convince our opponents, it follows that in the process of making up our own minds we can have made no appeal to such criteria or such reasons. If I lack any good reasons to invoke against you, it must seem that I lack any good reasons. Hence it seems that underlying my own position there must be some non-rational decision to adopt that position. Corresponding to the interminability of public argument there is at least the appearance of a disquieting private arbitrariness. It is small wonder we become defensive and therefore shrill.

A second, equally important, but contrasting characteristic of these arguments is that they do none the less purport to be *impersonal* rational arguments and as such are usually presented in a mode appropriate to that impersonality. What is that mode? Consider two different ways in which I may provide backing for an injunction to someone else to perform some specific action. In the first type of case I say, "Do so-and-so." The person addressed replies, "Why should I do so-and-so?" I reply, "Because I wish it." Here I have given the person addressed no reason to do what I command or request unless he or she independently possesses some particular reason for paying regard to my wishes. If I am your superior officer – in the police, say, or the army – or otherwise have power or authority over you, or if you love me or fear me or want something from me, then by saying "Because I wish it" I have indeed given you a reason, although not perhaps a sufficient reason, for doing what it is that I enjoin. Notice that in this type of case whether my utterance gives you a reason or not depends on certain characteristics possessed at the time of hearing or otherwise learning of the utterance by you. What reason-giving force the injunction has depends in this way on the personal context of the utterance.

Contrast with this the type of case in which the answer to the question "Why should I do so-and-so?" (after someone has said "Do so-and-so") is not "Because I wish it," but some such utterance as "Because it would give pleasure to a number of people" or "Because it is your duty." In this type of case the reason given for action either is or is not a good reason for performing the action in question independently of who utters it or even of whether it is uttered at all. Moreover the appeal is to a type of consideration which is independent of the relationship





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between speaker and hearer. Its use presupposes the existence of *impersonal* criteria – the existence, independently of the preferences or attitudes of speaker and hearer, of standards of justice or generosity or duty. The particular link between the context of utterance and the force of the reason-giving which always holds in the case of expressions of personal preferences or desire is severed in the case of moral and other evaluative utterances.

This second characteristic of contemporary moral utterance and argument, when combined with the first, imparts a paradoxical air to contemporary moral disagreement. For if we attended solely to the first characteristic, to the way in which what at first appears to be argument relapses so quickly into unargued disagreement, we might conclude that there is nothing to such contemporary disagreements but a clash of antagonistic wills, each will determined by some set of arbitrary choices of its own. But this second characteristic, the use of expressions whose distinctive function in our language is to embody what purports to be an appeal to objective standards, suggests otherwise. For even if the surface appearance of argument is only a masquerade, the question remains "Why this masquerade?" What is it about rational argument which is so important that it is the nearly universal appearance assumed by those who engage in moral conflict? Does not this suggest that the practice of moral argument in our culture expresses at least an aspiration to be or to become rational in this area of our lives?

A third salient characteristic of contemporary moral debate is intimately related to the first two. It is easy to see that the different conceptually incommensurable premises of the rival arguments deployed in these debates have a wide variety of historical origins. The concept of justice in the first argument has its roots in Aristotle's account of the virtues; the second argument's genealogy runs through Bismarck and Clausewitz to Machiavelli; the concept of liberation in the third argument has shallow roots in Marx, deeper roots in Fichte. In the second debate a concept of rights which has Lockean antecedents is matched against a view of universalizability which is recognizably Kantian and an appeal to the moral law which is Thomist. In the third debate an argument which owes debts to T. H. Green and to Rousseau competes with one which has Adam Smith as a grandfather. This catalogue of great names is suggestive; but it may be misleading in two ways. The citing of individual names may lead us to underestimate the complexity of the history and the ancestry of such arguments; and it may lead us to look for that history and that ancestry only in the writings of philosophers and theorists instead of in those intricate bodies of theory and practice which constitute human culture, the beliefs of which are articulated by philosophers and theorists only in a partial and selective manner. But the catalogue of names does suggest how wide and heterogeneous the variety of moral sources is from which we have inherited. The surface rhetoric of our culture is apt to speak complacently of moral pluralism in this connection, but the notion of pluralism is too imprecise. For it may equally well apply to an ordered dialogue of intersecting viewpoints and to an unharmonious melange of ill-assorted fragments. The suspicion - and for the moment it can only be a suspicion – that it is the latter with which we have to deal is heightened when we recognize that all those various concepts which inform our moral discourse were originally at home in larger totalities of theory











and practice in which they enjoyed a role and function supplied by contexts of which they have now been deprived. Moreover the concepts we employ have in at least some cases changed their character in the past three hundred years; the evaluative expressions we use have changed their meaning. In the transition from the variety of contexts in which they were originally at home to our own contemporary culture "virtue" and "justice" and "piety" and "duty" and even "ought" have become other than they once were. How ought we to write the history of such changes?

It is in trying to answer this question that the connection between these features of contemporary moral debate and my initial hypothesis becomes clear. For if I am right in supposing that the language of morality passed from a state of order to a state of disorder, this passage will surely be reflected in – in part indeed will actually consist in – just such changes of meaning. Moreover, if the characteristics of our own moral arguments which I have identified – most notably the fact that we simultaneously and inconsistently treat moral argument as an exercise of our rational powers and as mere expressive assertion – are symptoms of moral disorder, we ought to be able to construct a true historical narrative in which at an earlier stage moral argument is very different in kind. Can we?

One obstacle to our so doing has been the persistently unhistorical treatment of moral philosophy by contemporary philosophers in both the writing about and the teaching of the subject. We all too often still treat the moral philosophers of the past as contributors to a single debate with a relatively unvarying subject-matter, treating Plato and Hume and Mill as contemporaries both of ourselves and of each other. This leads to an abstraction of these writers from the cultural and social milieus in which they lived and thought and so the history of their thought acquires a false independence from the rest of the culture. Kant ceases to be part of the history of Prussia, Hume is no longer a Scotsman. For from the standpoint of moral philosophy as we conceive it these characteristics have become irrelevances. Empirical history is one thing, philosophy quite another. But are we right in understanding the division between academic disciplines in the way that we conventionally do? Once again there seems to be a possible relationship between the history of moral discourse and the history of the academic curriculum.

Yet at this point it may rightly be retorted: You keep speaking of possibilities, of suspicions, of hypotheses. You allow that what you are suggesting will initially seem implausible. You are in this at least right. For all this resort to conjectures about history is unnecessary. The way in which you have stated the problem is misleading. Contemporary moral argument is rationally interminable, because *all* moral, indeed all evaluative argument is and always must be rationally interminable. Contemporary moral disagreements of a certain kind cannot be resolved, because *no* moral disagreements of that kind in any age, past, present, or future, can be resolved. What you present as a contingent feature of our culture, standing in need of some special, perhaps historical explanation, is a necessary feature of all cultures which possess evaluative discourse. This is a challenge which cannot be avoided at an early stage in this argument. Can it be defeated?

One philosophical theory which this challenge specifically invites us to confront is emotivism. Emotivism is the doctrine that all evaluative judgments and more specifically all moral judgments are *nothing* but expressions of preference, expressions





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of attitude or feeling, in so far as they are moral or evaluative in character. Particular judgments may of course unite moral and factual elements. "Arson, being destructive of property, is wrong" unites the factual judgment that arson destroys property with the moral judgment that arson is wrong. But the moral element in such a judgment is always to be sharply distinguished from the factual. Factual judgments are true or false; and in the realm of fact there are rational criteria by means of which we may secure agreement as to what is true and what is false. But moral judgments, being expressions of attitude or feeling, are neither true nor false; and agreement in moral judgment is not to be secured by any rational method, for there are none. It is to be secured, if at all, by producing certain non-rational effects on the emotions or attitudes of those who disagree with one. We use moral judgments not only to express our own feelings and attitudes, but also precisely to produce such effects in others....

The emotive theory, ... purports to be a theory about the meaning of sentences; but the expression of feeling or attitude is characteristically a function not of the meaning of sentences, but of their use on particular occasions. The angry schoolmaster, to use one of Gilbert Ryle's examples, may vent his feelings by shouting at the small boy who has just made an arithmetical mistake, "Seven times seven equals forty-nine!" But the use of this sentence to express feelings or attitudes has nothing whatsoever to do with its meaning. This suggests that we should not simply rely on these objections to reject the emotive theory, but that we should rather consider whether it ought not to have been proposed as a theory about the *use* – understood as purpose or function – of members of a certain class of expressions rather than about their *meaning* – understood as including all that Frege intended by "sense" and "reference."

Clearly the argument so far shows that when someone utters a moral judgment, such as "This is right" or "This is good," it does not mean the same as "I approve of this, do so as well" or "Hurrah for this!" or any of the other attempts at equivalence suggested by emotive theorists; but even if the meaning of such sentences were quite other than emotive theorists supposed, it might be plausibly claimed, if the evidence was adequate, that in using such sentences to say whatever they mean, the agent was in fact *doing* nothing other than expressing his feelings or attitudes and attempting to influence the feelings and attitudes of others. If the emotive theory thus interpreted were correct it would follow that the meaning and the use of moral expressions were, or at the very least had become, radically discrepant with each other. Meaning and use would be at odds in such a way that meaning would tend to conceal use. We could not safely infer what someone who uttered a moral judgment was doing merely by listening to what he said. Moreover the agent himself might well be among those for whom use was concealed by meaning. He might well, precisely because he was self-conscious about the meaning of the words that he used, be assured that he was appealing to independent impersonal criteria, when all that he was in fact doing was expressing his feelings to others in a manipulative way....

... Emotivism on this account turns out to be an empirical thesis or rather a preliminary sketch of an empirical thesis, presumably to be filled out later by psychological and sociological and historical observations, about those who continue





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to use moral and other evaluative expressions, as if they were governed by objective and impersonal criteria, when all grasp of any such criterion has been lost. We should therefore expect emotivist types of theory to arise in a specific local circumstance as a response to types of theory and practice which share certain key features of Moore's intuitionism. Emotivism thus understood turns out to be, as a cogent theory of use rather than a false theory of meaning, connected with one specific stage in moral development or decline, a stage which our own culture entered early in the present century.







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